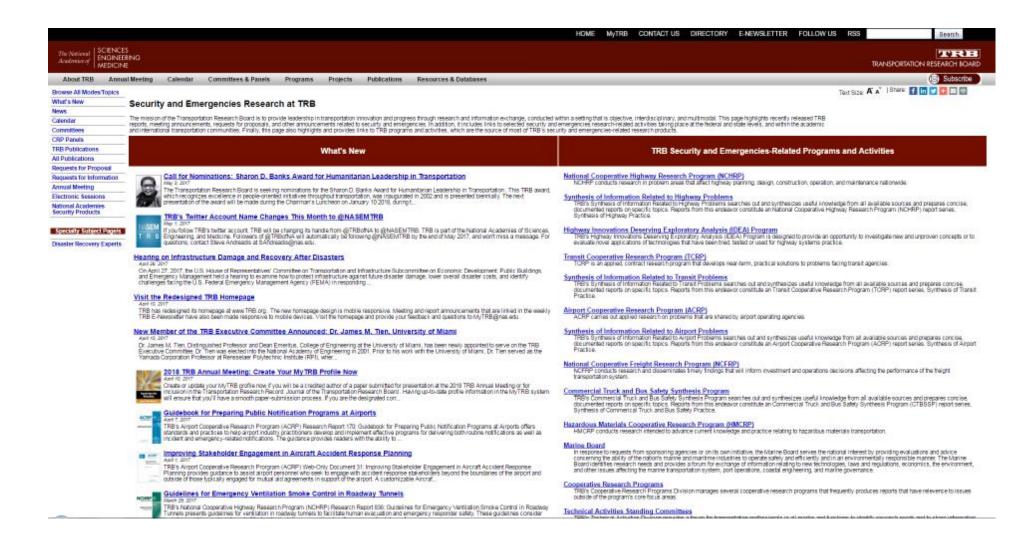


The Transportation Resilience Honeycomb.

Source: Understanding Transportation Resilience: A 2016-2018 Roadmap, Fletcher and Ekern (August 2016)

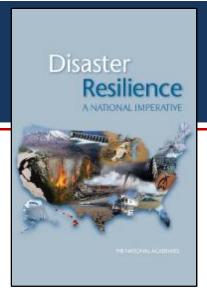






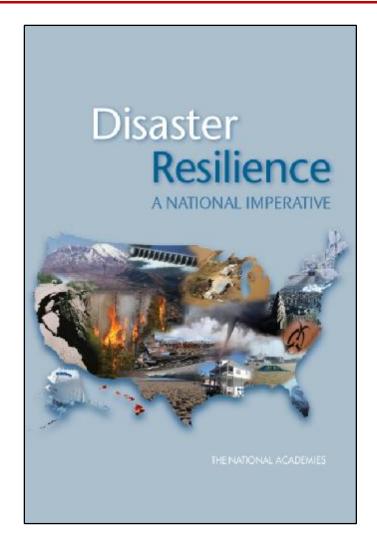
Presentation Outline

- Definition and context for resilience
- Introduction to TRB & hot topics
- Overview of TRB work in resilience
- Key products for all hazards, all modes
- Catalog of completed work and work in progress



The ability to prepare and plan for, absorb, recover from, or more successfully adapt to actual or potential adverse events.

DISASTER RESILIENCE: A NATIONAL IMPERATIVE

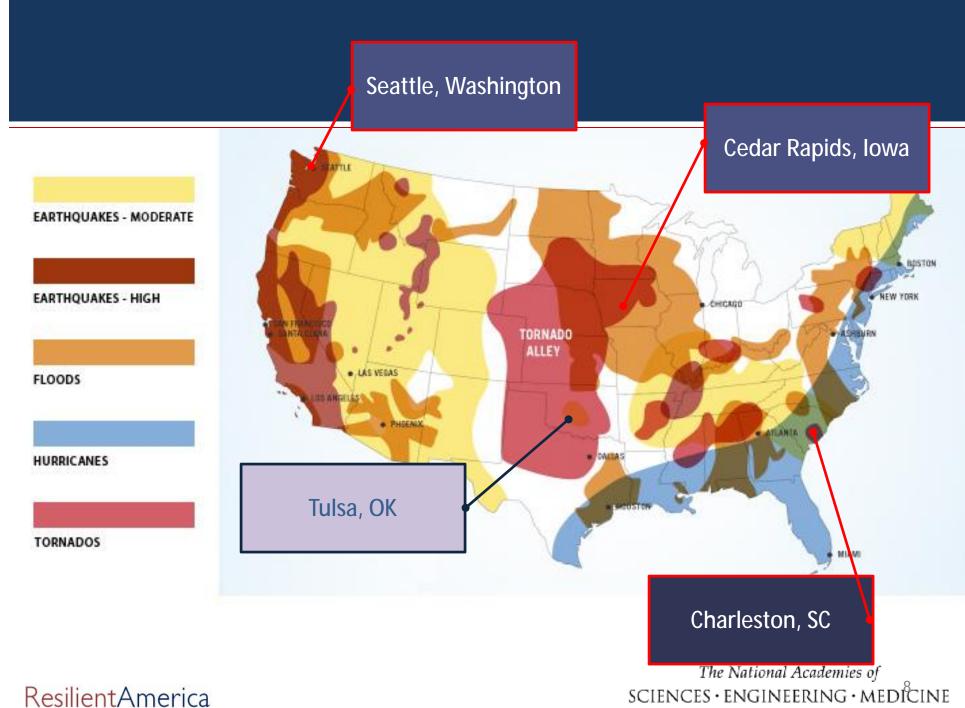


Four major recommendations

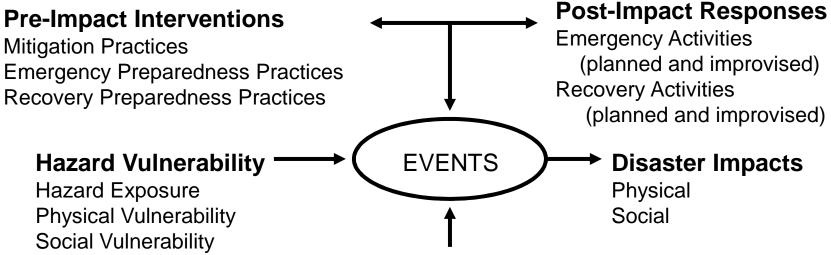
- Manage and communicate risk
- Measure resilience in communities
- Build community partnerships and coalitions
- Share information and data to build resilient communities

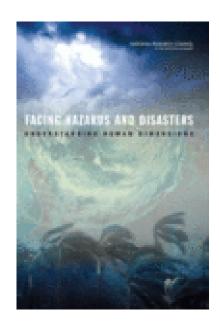
FOUR WORKSTREAMS

- 1. Community Pilot Program
- 2. Workshops, Expert Meetings, and Activities
- 3. Measures and Metrics of Resilience
- 4. Economic Supply Chain Resilience



The Hazards and Disaster Management System





Disaster Event Characteristics

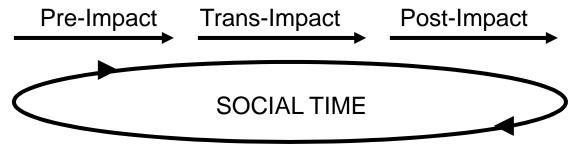
Frequency Magnitude of Impact

Predictability Scope of Impact (spatial and social)

Controllability Duration of Impact

Length of Forewarning

CHRONOLOGICAL TIME



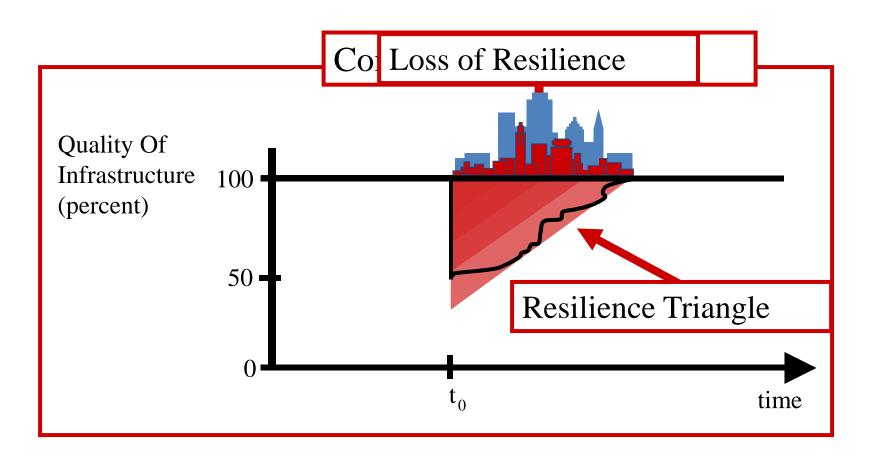
Source: Facing Hazards and Disasters (NAS, 2006), adapted from Kreps (1985), Cutter (1996), Lindell and Prater (2003)

Organization of Federal Disaster, Civil Defense, and Defense Mobilization Functions, 1950-2006

Function	1950 1951 1952 1953 ==== ===> 1957 1958 1959 1960 1961 ==== ===> 1972 1973 ==== ===> 1978 1979 ==== ====> 2002 2003 2004 2005						
Disaster Relief	Housing and Home Finance Administration (independent)	Federal Civil Defense Administration	Office of Civil Defense Mobilization (EOP)	Office of Emergency Planning (1968: Renamed Office of Emergency Preparedness)	Federal Disaster Assistance Administration (FDAA), in HUD	Federal Emergency Management Administration (FEMA) (Independent)	DHS (FEMA becomes part)
Civil Defense	Federal Civil Defense Administration (Independent)				Office of Preparedness, later Federal Civil Preparedness Agency (GSA)		DHS
Defense Mobilization	Office of Defense Mobilization (Executive Office of the President [EOP])			DoD (Defense Civil Preparedness Agency)	DoD (Defense Civil Preparedness Agency)		DOD

Source: Facing Hazards and Disasters (National Academy of Sciences, 2006)

Multidisciplinary Center for Earthquake Engineering Research (MCEER) General Framework for Quantification of Resilience: Extent of Disruption and Recovery Time



Source: Bruneau & Tierney, Resilience: Defining and Measuring What Matters (MCEER 2006) http://mceer.buffalo.edu/

Further Elaboration: MCEER Resilience Domains

Technical: Physical Systems—Location-Based & Distributed Critical Facilities

Organizational: Attributes, Dynamics of Organizations & Institutions

Social: Attributes, Dynamics of Communities and Populations

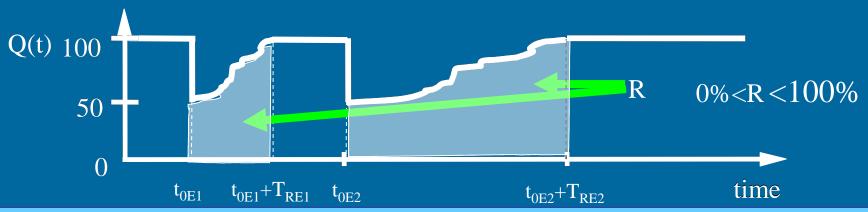
Economic: Attributes, Dynamics of Local and Regional Economies & Their Constituent Units (e.g. Businesses)

Source: Bruneau & Tierney, Resilience: Defining and Measuring What Matters (MCEER 2006) http://mceer.buffalo.edu/

Resilience Property Space & Examples

Dimension/ Domain	Technical	Organizational	Social	Economic
Robustness	Newer Structures, Built to Code	Extensiveness of Emergency Operations Planning	Social Vulnerability/ Resilience Indicators	Extent of Economic Diversification
Redundancy	Capacity for Technical Substitutions, "Work-Arounds"	Alternate Sites for Managing Disaster Operations	Availability of Housing Options for Disaster Victims	Ability to Substitute, Conserve Needed Inputs
Resourceful- ness	Availability of Materials for Restoration, Repair	Capacity to Improvise, Innovate, Expand	Capacity to Address Human Needs	Capacity to Improvise, Innovate
Rapidity	System Downtime, Restoration Time	Time Between Impact & Early Recovery	Time to Restore Life- line Services	Time to Regain Capacity, Lost Revenue

Resilience quantification



$$\overline{R} = \frac{1}{N_{I}} \sum_{I=1}^{N_{I}} \left\{ \frac{1}{N_{E}} \cdot \sum_{E=1}^{NE} \frac{1}{T_{RE}} \cdot \int_{t_{0E}}^{t_{0E}+T_{RE}} \left\{ 1 - L(I,T_{RE}) \left[H(t_{0E}) - H(t_{0E} + T_{RE}) \right] \cdot \alpha_{R} \cdot f_{Rec}(t,t_{0E},T_{RE}) \right\} \cdot dt \cdot p_{E}(0,T_{LC}) \right\} \cdot P(I)$$

Where:

 N_E Number extreme events expected during the lifespan (or control period) T_{LC} of the system

N_I Number of different extreme events intensities expected during the lifespan (or control period)

expected during the T_{LC} of the system

 T_{RE} Recovery time from event E t_{oF} Time of occurrence of event E

 $f_{rec}(t,t_{0E},T_{RE})$ Recovery function

 $H(t_{0F})$ is a step function (=0 for t< t_{0F} ; =1 otherwise)

 α_R Recovery factor =1 for full recovery

 $L_{I}(I,T_{RE})$ Normalized loss function

P(I) Probability that an event of given intensities happens in a given time interval T_{LC}

 $p_E(0,T_{LC})$ probability that an event happens E times in a given time interval T_{LC}

Source: Bruneau & Tierney, Resilience: Defining and Measuring What Matters (MCEER 2006)

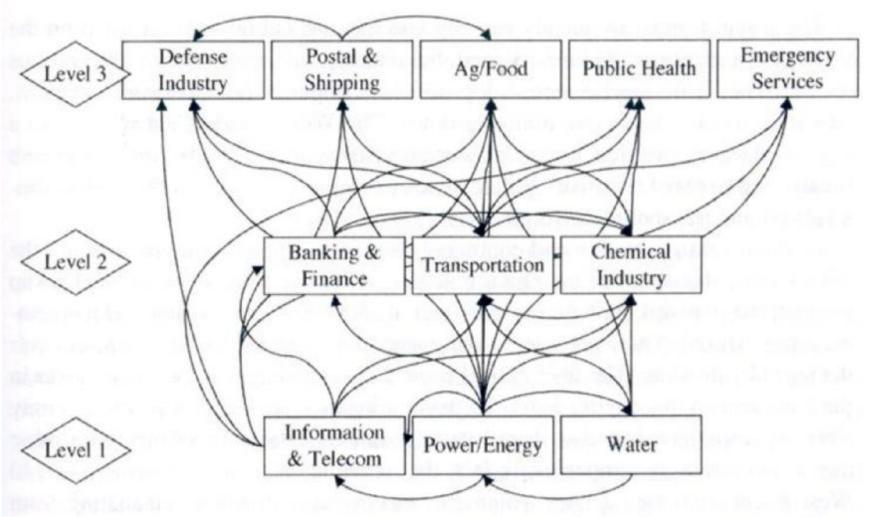
THREE KEY THEMES FOR RESILIENCE

- Measure--Figure out way/s to measure resilience
- Communicate—new ways to talk about risk and resilience in ways that people can understand and take action
- Connect—long-term change and impact with short-term decision making

Transportation Context

- Multiple modes; multiple industries
- People and freight
- Massive network central to economy
- International in scope
- Decentralized
- Public-private mix
- No one in charge

The Transportation Sector is Central to Enabling Operability in All Other Sectors

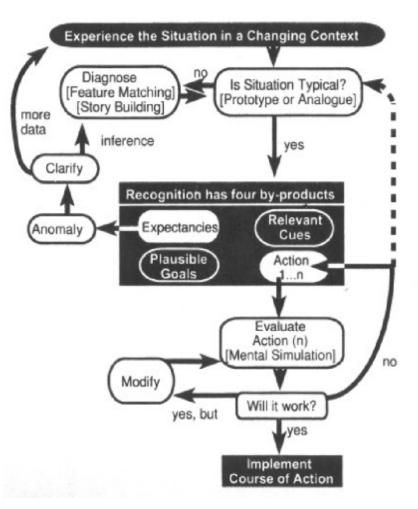


Levels and dependencies among the 11 critical infrastructure sectors.

Source: Transportation System Sector-Specific Plan Research and Development Working Group Year in Review Progress Report and Next Steps (2008), adapted from Critical Infrastructure Protection in Homeland Security: Defending a Networked Nation by Ted G. Lewis, Wiley (2006).

Research explains how uncertainty leads to doubt

(a) Integrated Recognition-Primed Decision model

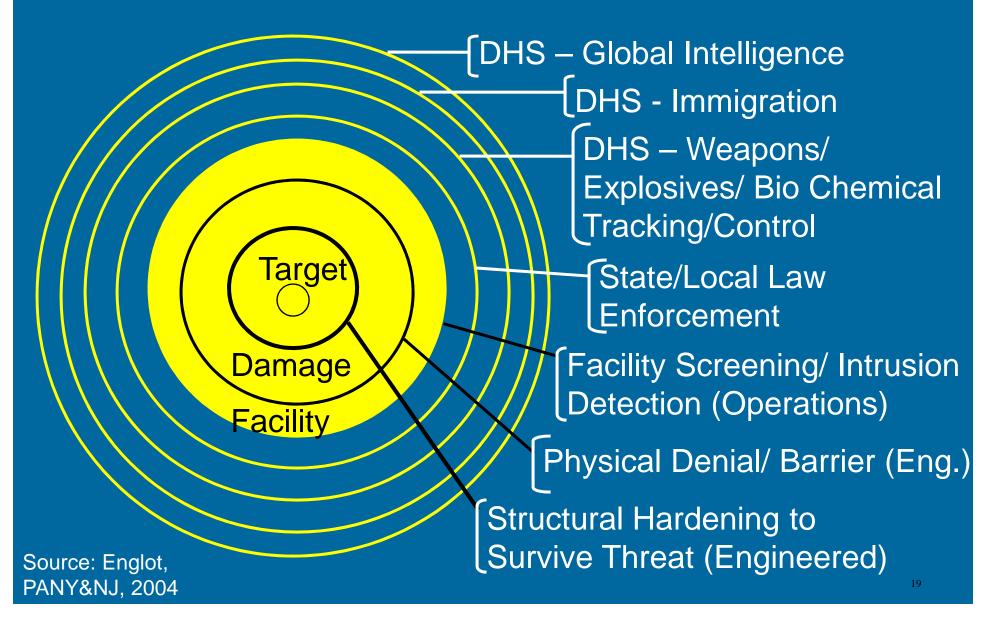


(b) Uncertainty as a barrier to action



Source: Klein, Sources of Power (1998)

An Infrastructure Owner's View of a Layered, Integrated Security System



Developing a Strategy to Counter Terrorism Requires a Roadmap; Each Component of the Roadmap Requires Research

Threat Analysis

Political, Economic,
Cultural Sources

Strategy, Tactics, Capabilities

Why do they hate us? What makes them hate us more?

Homeland

Security

How can we best reduce the supply of terrorists?

Offensive/Foreign

- Military Strategy and Programs
- Intelligence Strategy and Programs
- Police and Justice

Defensive/Domestic

- Preventive Measures
- •Response Measures
- Threat and Risk Analysis

Direct Action

Support

Denial

- International Development
- Political Actions
- Counter- and Non-Proliferation

How can we best allocate scarce HS dollars? Does security deter?

Source: Riley, (2004), Reducing the Risks and Consequences of Terrorism, CREATE Conference

Identification of R&D Gaps & Needs

TRB Committee on Critical
 Transportation
 Infrastructure Protection
 shares research results
 from all sources & identifies
 research needs

TRB Annual State Visits to DOTs, Universities, MPOs, Transit Agencies, Ports, Airports & other

70+ other technical meetings

agency reps



 AASHTO Special Committee on Transportation Security & Emergency Management (SCOTSEM) identifies and refers research needs Non-Government Organizations

State/Local Government

Federal Agencies

Private Sector

NCHRP Research Results Digest 333 / TCRP Research Results Digest 90

Natural Hazards Informer Number 4

A Guide to Planning Resources on Transportation and Hazards (2009)

Chapter 1: Introduction to the Disaster Cycle

Chapter 2: Overview

Chapter 3: The Economy and Hazards

Chapter 4: People and Hazards

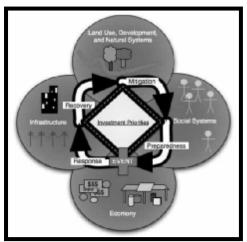
Chapter 5: Infrastructure: Lifelines During Disasters

Chapter 6: Land Use, Development,

and Natural Systems

Chapter 7: From Theory to Practice: Case Studies

Chapter 8: Conclusion







Number 4

eptember 2009

A Guide to Planning Resources on Transportation and Hazards

The Natural Hazards Informer is a series that summarizes current knowledge about various aspects of natural hazards for practitioners, researchers, public policy makers, and others.

What this Informer does

Our nation's transportation infrastructure (freeways, highways, treets bridge, public transit lines, ways, highways, treets bridge, public transit lines, take paths, rail lines, airports, ports, etc.) is arguably the most important piece of this fravenueue for the sale and efficient functioning of our nation. We rely on it to get to and from work, to thip our goods to market, and to access any number of important amenities. This issue of the hyforwer introduces a voide range of hazards literature and see such that applies to transportation-related emergency management work. It provides an overview of a systemu approach to integrated emergency management functions trupported by current seezands, focusing on the importance of a holistic approach to risk reduction. The hyformer describes how failures in the transportation cytem result-

ing from either human-caused or natural disasters can affect all of the other systems that are dependent upon it. Case studies that connect research to practice provide real-world examples of holistic approaches to disaster management in the transportation field.

Who should read it and why

We prepared this items for transportation officials with emergency response, preparedness, mitigation, and security duties. The case studies are transportation related. That said, anyone with interest in current thausaid sesseant will learn something by reading this laylower. We explore themes of systems theory, community realizance, connectivity, and security in the content of transportation planning.

Acknowledgements

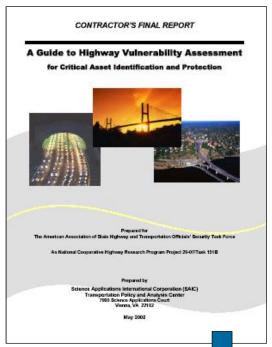
Andre LeDuc, Lorelei Juntunen, and Emma Stocker wrote and researched this Informer with funding from the Transit Cooperative Research Program and the National Cooperative History Research Program

Andre LeDuc, an ECONorthwest Associate, is founder and executive director of the Oregon Partnership for Disaster Resilience and director of Emergency Management at the University of Lorelel Juntunen is a project manager at the Oregon-based consulting firm ECONorthwest. She works at the intersection of policy, land use and transportati planning, and disaster loss

Emma Stocker is a research associate at ECONorthwest. She spent a year researching and evaluating the mechanisms of recovery in the greater New Orleans area in the aftermath of Hurricane Katrina. Rob Wyman, ECONorthwest research analyst, also contributed. He specializes in applying geospatial analysis techniques to land use, development, and other public policy issues.

Special thanks to the Interviewes: whose unlaque perspectives shaped the case studies: Vincent Ambresia, Sue Cannon, Thomas Cova, Mike Piatcher, Richard M. Gaudiosi, Mike Gavin, Marsha Hillmes-Robinson, Chris Lockra, and Sarah McCaffrey.

Continuous Development of Risk Management and Emergency Response Planning Guidance



Published 2009:

NCHRP Report 525, Vol. 14

Security 101: A Physical Security

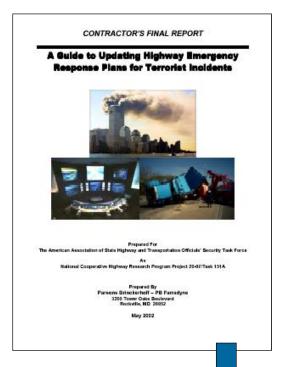
Primer for Transportation Agencies

2002: Guides to Vulnerability Assessment & Emergency Response Planning

2002-2003: workshops

2004-2005: publications that anticipated NIMS, NRP/NRF, and NIPP.

2012: publications adopted by AASHTO



Published 2010:

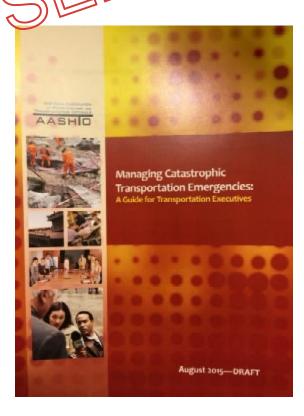
NCHRP Report 525, Vol. 16

A Guide to Emergency Response Planning at State Transportation Agencies

NCHRP Project 20-59(36)

Managing Catastrophic Transportation Emergencies: A Guide for Transportation Executives (2015)

Adopted by



NCHRP Project 20-59(14B)

Fundamental Capabilities of Effective All-Hazards Infrastructure Protection, Resilience, and Emergency Management for State Departments of Transportation (2015)

Fundamental Capabilities of Effective All-Hazards Infrastructure Protection, Resilience, and Emergency Management For State Departments of Transportation Final Report

All Hazards Planning Fundamentals

- Prevention: Capabilities necessary to avoid, prevent, or stop a threatened or actual act of terrorism.
- Protection: Capabilities necessary to secure against acts of terrorism and manmade or natural disasters.
- Mitigation: Capabilities necessary to reduce loss of life and property by lessening the impact of disasters.
- Response: Capabilities necessary to save lives, protect property and the environment, and meet basic human needs after an incident has occurred.
- Recovery: Capabilities necessary to assist communities affected by an incident to recover effectively.

Source: AASHTO. Fundamentals of Effective All Hazards Security and Resilience for State DOTs, 2015.

Transportation Agency Resilience: Fundamental Capabilities

Prevention	Protection	Mitigation	Response	Recovery			
	Planning						
	Public Information and Warning						
Operational Coordination							
Intelligence & Information Sharing Screening, Search, & Detection	Access Control Physical Protective Measures Risk Management Supply Chain Integrity	Long-Term Vulnerability Reduction Risk & Disaster Resilience Assessment Threat & Hazar Identification	Operational Communications Situational Assessment	Infrastructure Systems			
Cybersecurity Training and Exercises Source: ANSHID Fundamentals of Effective All Hazards Security and Resilience for State DOTs, 20							

Transportation Agency Resilience: CRP Resources for Fundamental Capabilities

Prevention	Protection	Mitigation	Response	Recovery		
Planning: Guide to Emergency Response Planning at State Transportation Agencies						
Public Information and Warning: Communication with Vulnerable Populations FloodCast						
Operational Coordination: A Guide to Regional Transportation Planning for Disasters, Emergencies, and Extreme Events						
Intelligence & Information Sharing	Access Control	Long-Term Vulnerability Reduction	Critical Transportation	Infrastructure Systems		
Screening, Search, & Detection	Physical Protective Measures	Risk & Disaster Resilience Assessment	Operational Communications			
	Risk Management	Threat & Hazard Identification	Situational Assessment			
	Supply Chain Integrity & Security					

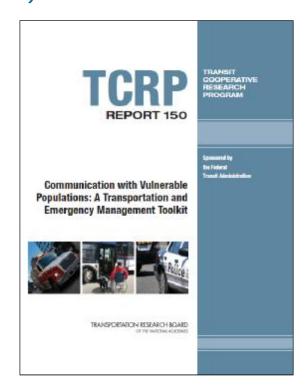
Cybersecurity: Effective Practices for the Protection of Transportation Infrastructure from Cyber Incidents | Security 101, Second Edition

Training and Exercises: Guidelines for Transportation Emergency Training Exercises |
ICS Training for Field Level Transportation Supervisors and Staff |
Transportation Emergency Response Application

TCRP Report 150

Communication with Vulnerable Populations: A Transportation and Emergency Management Toolkit (2011)

Objective
to develop a toolkit of
communications strategies,
policies, and practices for
transportation agencies and
emergency management
agencies that focuses on
communicating with
vulnerable populations prior
to, during, and after all-hazards
emergencies.



Graphic: Cover for TCRP Report 150, Communication with Vulnerable Populations:

A Transportation and Emergency Management Toolkit

NCHRP 20-59(53) FloodCast:

Real Time Flood Forecast & Warning Application Built on National Flood Interoperability Experiment (NFIE) System

Blanco River at Wimberley

Current: 6600 basins and 3600 forecast points

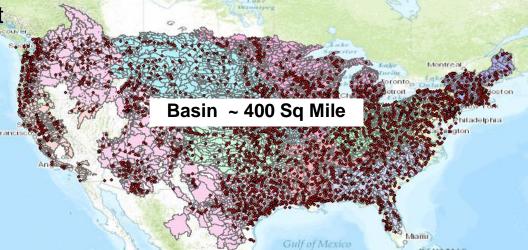
Two basins and one forecast point



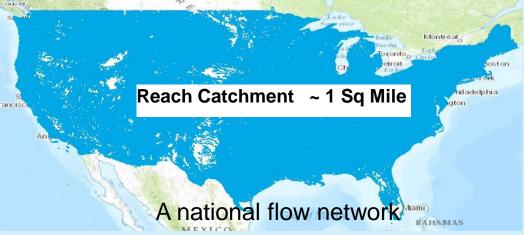
becomes



130 Catchments and Flowlines uniquely labelled



NFIE: 2.7 million stream reaches and catchments



Source: Maidment, Current and NFIE Forecast Systems, 9 September 2015.

ADAPTING TO CHANGE IN URBAN FLOODING

Rokstrom Natural Systems

Snowden & Boone Leader's Framework for Decision-making

(openness to innovation)

Milly et al Stationarity is Dead Types of Resilience

Resist	Complicated	Stationarity	Engineered resilience (Probabilities of failure)
Adapt	Complex (test- bed for innovation)	Stationarity is Dead	Socio-Ecological Resilience -capacity to adapt -attributes of resilience
Transform	Chaos		

Source: Steve Moddemeyer, "New Ideas around the Old Problem of Urban Flooding," ResilientAmerica Roundtable, February 17, 2017

NCHRP Project Panel 20-59 Surface Transportation Security & Resilience Research

- NCHRP 20-59(117) Deploying Transportation Resilience Practices in State DOTs (2017-2019)
- Resilience Research Roadmap (Pre and Post Summit versions) (2017-2019)
- Transportation Resilience White Papers (2017)
 - Understanding Transportation Resilience:
 A Cyber Perspective
 - Understanding Transportation Resilience:
 An Economic Perspective
 - Understanding Transportation Resilience:
 An Environmental Perspective
- CEO Primer on Transportation Resilience (2017-2019)
- CEO Engagement Forums (2017-2018)



NCHRP Project 20-117 Deploying Transportation Resilience Practices in State DOTs (2019)

The objective of this research is to develop a set of implementation support tools and services to assist transportation organizations in deploying resilience-based innovations and effective practices based on the implementation recommendations contained in completed resiliency research.

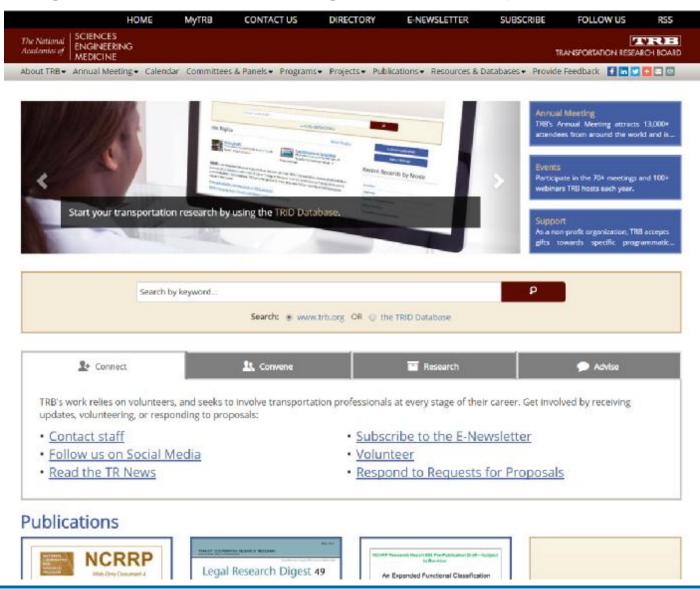
The scope of these services shall encompass those activities involving (1) organizational/institutional implementation (e.g., governance, business process/data, performance measures, work plans); (2) employee learning (grounded in modern adult learning theory and centered on facilitating learning in the workplace); and (3) stakeholder outreach and engagement. A significant component of the this project will be to organize a national summit and peer exchange on transportation resiliency to be held in 2018 and co-sponsored by TRB, AASHTO (SCOTSEM, Standing Committee on the Environment, and Resilient and Sustainable Transportation Systems), FHWA, Federal Emergency Management Agency, Department of Homeland Security, and other interested parties.



TRB

Who We Are and What We Do

Promoting Innovation and Progress in Transportation TRB.org



The National Academies of SCIENCES • ENGINEERING • MEDICINE





1863

National Academy of Sciences 1964

 National Academy of Engineering 1970

 National Academy of Medicine

Making the Nation Safer: The Role of Science and Technology in Countering Terrorism

NRC Policy Study released June 25, 2002



- Predict: Intelligence and surveillance of targets and means
- Prevent: Disrupt networks, contain threats
- Protect: Harden targets, immunize populations
- Interdict: Frustrate attacks, manage crisis
- Response & Recovery: Mitigate damage, expedite cleanup
- Attribute: Identify attacker to facilitate response

Source: Downey, TRB Annual Meeting 2003



Making the Nation Safer

General Strategies and Research Needs

§Biological Research, prepare, distribute response to pathogens

§Chemical/Explosives Sensors & filters

§Info Technology Network security/ER communications

§Energy SCADA controls/adaptive grid/vulnerabilities

§Cities/Infrastructure Emergency responder support

§Transportation Layered system security

§People Trusted spokespersons

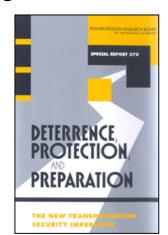
§Complex Systems Data fusion/data mining/red-teaming

§Cross-Cutting Technology Sensors/robots/SCADAs/systems analysis

§Deployment Homeland Security Institute, Partnerships among feds/states/locals/universities

§Nuclear Control weapons & materials at source

Source: Downey, TRB Annual Meeting 2003





Review of DHS's Approach to Risk Analysis (2010)

This Congressionally-mandated study by the National Academies reviewed how the Department of Homeland Security (DHS) is building its capabilities in risk analysis to inform decision-making. More specifically, the study addressed the following tasks:

- Evaluate the quality of the current DHS approach to estimating risk and applying those estimates in its many management, planning, and resource-allocation (including grant-making) activities, through review of a committee-selected sample of models and methods;
- b) Assess the capability of DHS risk analysis methods to appropriately represent and analyze risks from across the Department's spectrum of activities and responsibilities, including both terrorist threats and natural disasters;
- Assess the capability of DHS risk analysis methods to support DHS decisionmaking;
- d) Review the feasibility of creating integrated risk analyses covering the entire DHS program areas, including both terrorist threats and natural disasters, and make recommendations for best practices, including outreach and communications;
- e) Recommend how DHS can improve its risk analyses and how those analyses can be validated and provide improved decision support.



National Academies of Sciences, Engineering, and Medicine Transportation Policy Studies: Resilience

- Special Report 294: The Role of Transit in Emergency Evacuation (2008)
- Special Report 290: The Potential Impacts of Climate Change on U.S. Transportation (2008)

Foresight NCHRP Report 750 Series: Informing Transportation's Future



VOLUME 1: FREIGHT

Economic Changes
Driving Future
Freight
Transportation

Explore and plan for the future of freight with a scenario planning toolkit.



VOLUME 2: CLIMATE CHANGE

Climate Change and the Highway System: Impacts and Adaptation Approaches

How to prepare for extreme weather events.



VOLUME 3: TECHNOLOGY

Expediting Future Technologies for Enhancing Transportation System Performance

Select the right technology investments at the right time.

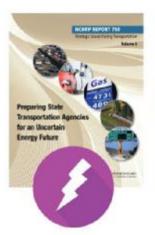
WEBINAR: A recorded webinar on Vol. 3 is available here



VOLUME 4: SUSTAINABILITY

Sustainability as an Organizing Principle for Transportation Agencies

Organize transportation agencies to support a sustainable society.



VOLUME 5: ENERGY

Preparing State Transportation Agencies for an Uncertain Energy Future

Identify and assess strategic responses to a variety of future energy scenarios.



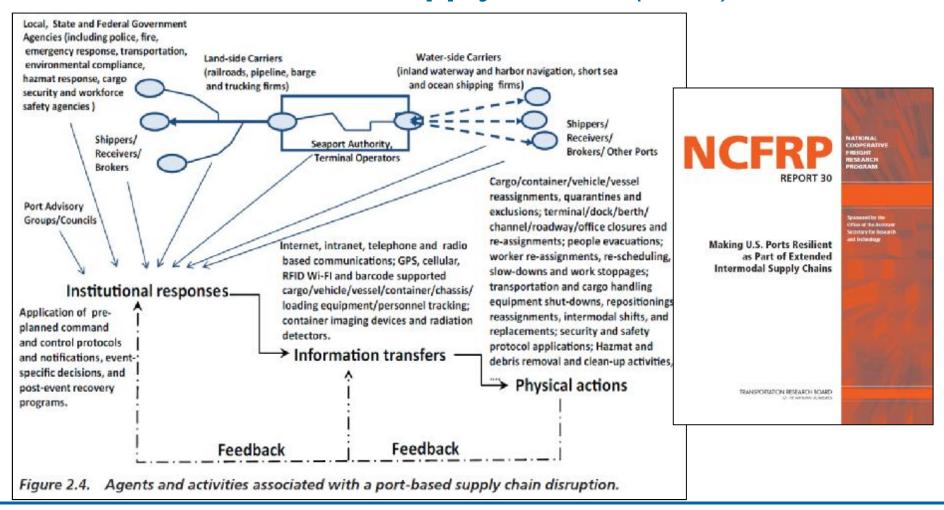
VOLUME 6: SOCIO-DEMOGRAPHICS

The Effects of Socio-Demographics on Future Travel Demand

Envision and model the transportation impacts of shifting demographics.

NCFRP Report 30

Making U.S. Ports Resilient as Part of Extended Intermodal Supply Chains (2014)



TCRP Project A-41 (2017)

Improving the Resiliency of Transit Systems Threatened by Natural Disasters

The objectives of this research are to develop (1) **a handbook** with an associated suite of digital presentation materials to address planning principles, guidelines (including metrics), strategies, tools, and techniques to enable public transit systems to become more resilient to natural disasters and climatic events; and (2) **a draft recommended practice** for public transit resilience to natural disasters and climatic events suitable as input to the APTA Standards Program. The handbook and its associated suite of digital presentation materials should be appropriately designed for use by public transit agency executive staff to plan, budget, and institutionalize effective practices to improve resilience, addressing (a) capital project planning and asset management (including financial planning and risk assessment for natural disasters and climatic events), (b) operations and maintenance, and (c) administration. They should provide sufficient detail to allow users to adapt them to their individual entities.



NCHRP Project 20-101 (2017)

Guidelines to Incorporate the Costs and Benefits of Adaptation Measures in Preparation for Extreme Weather Events and Climate Change

The objectives of this research are to develop (a) a stand-alone document providing **guidance** for practitioners on methods and tools, including illustrative case studies where applicable, to: (i) efficiently mine, manage, and document existing data sources; (ii) acquire and use data from new and innovative sources; and (iii) apply, and communicate the results from, a flexible and scalable framework for analyzing the costs and benefits of adaptation measures in preparation for extreme weather events and climate change conducted by various transportation organizations; (b) a **final report** that documents the entire research effort and includes the research team's recommendation of research needs and priorities for additional related research; and (c) an updated PowerPoint **presentation** describing the research and results suitable (upon revision) for posting on the TRB website.



ACRP Project 2-74 (2018) Integrating Climate Resiliency into Airport Management Systems

The objective of this research is to develop a **handbook** incorporating climate adaptation into airport asset, risk, and emergency management systems.

Airports need a streamlined method to address climate vulnerability and planning as a part of risk and asset management and a way to align emergency planning with major climate related events. A quantification of risk factors, including airport and regional economic impact, can help inform asset management plans, emergency plans, and capital plans. Research is needed to help airports understand how climate risks add uncertainty to maintenance and capital budgets, and how this exposure can be mitigated and addressed through changes to airport asset management and capital planning.



NCHRP Project 15-61 (2018)

Applying and Adapting Climate Change Models to Hydraulic Design Procedures

The objectives of this research are to: (1) identify the needed levels of precision, accuracy, and confidence for climate models to be compatible with that of the data used in current hydrologic/hydraulic analysis and design techniques, identify downscaling strategies to move climate models closer towards these levels of precision, accuracy, and confidence, and develop science-based strategies and methodologies to advance engineering in extending climate predictions when the limits of downscaling of climate models are reached; (2) identify and quantify resiliency in existing hydraulic design practices due to current safety factors and conservative assumptions/techniques; and (3) identify cost-effective adaptation solutions that extend existing infrastructure to continue to function to the end of its service life despite not having been designed for climate change. An outcome of this research will be a **guidance** document with a list of available and achievable hydraulic resiliency in design for retrofits.



Criteria for Selecting TRB "Hot Topics"

- Identified in TRB Critical Issues in Transportation
- Timely
- Sustainable
- Diverse
- Key to helping to achieve multiple TRB strategic objectives

TRB Hot Topic: Transformational Technologies

Transformational, or "disruptive" technologies, are those that can be expected to completely displace the status quo, forever changing the way we live and work.

- •General examples: internet, personal computer, email, smartphone, GPS, big data
- •Transportation: Connected/automated vehicles, shared vehicles, advanced versions of on-demand shared ride and micro-transit services, NextGen, cog in "internet-of-things"



TRB Hot Topic: Resilience

Resilience is the ability to prepare and plan for, absorb, recover from, and more successfully adapt to adverse events.

- Natural disasters: blizzards, tornadoes, floods, hurricanes, wildfires, heat waves, earthquakes, and other natural hazards
- Human-induced disasters: acts of terrorism, financial crises, social unrest, cyber attacks

TRB Hot Topic: Transportation and Public Health

All aspects of public health that affect, or are affected by, transportation

- Public health impacts on transportation: public health laws and policies, medical advances, aging population
- Transportation impacts on health: crash fatalities and injuries, access to health care, emergency response, active transportation, transportation-induced pollution, accessibility for people with transportation disabilities

TRB Annual Meeting 1920s



TRB Today

- Manage Research
- Delivering Policy Analysis & Advice
- Information Exchange: Meetings, Publications, Website, Dissemination, Outreach



TRB Sponsors

- American Public Transportation Association
- Association of American Railroads
- State Departments of Transportation (All)
- South Coast Air Quality Management District
- U.S. Army Corps of Engineers
- U.S. Air Force Civil Engineering Center
- U.S. Coast Guard
- U.S. DOT: OST, FHWA, FTA, FRA, FMCSA, FAA

Research Management Cooperative Research Programs

- Highway
- Transit
- Airport
- Freight
- Hazardous Materials
- Rail

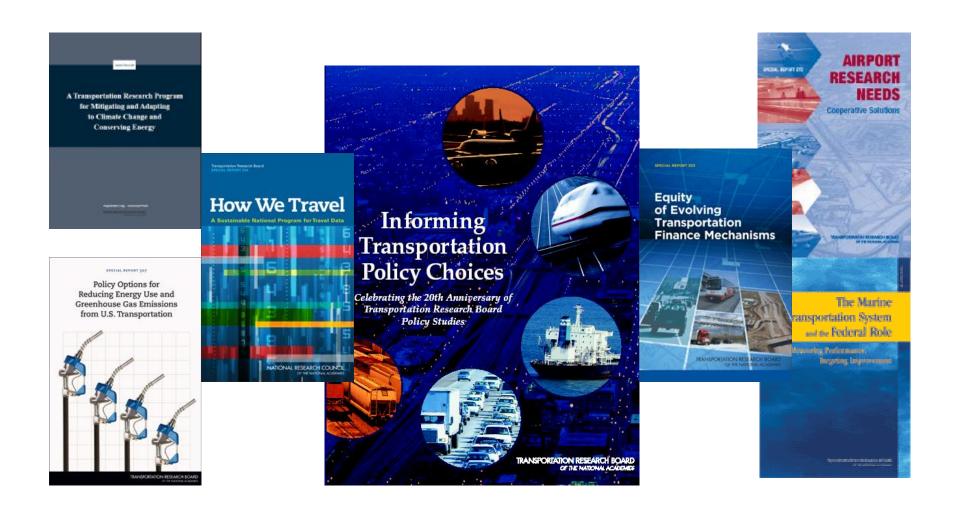


Characteristics of Cooperative Research Programs

- Sponsors own programs
- Practitioners select projects
- Emphasis on solving problems; short-term results
- Panels oversee each project
- Consultants, universities conduct research
- 200+ reports each year



Policy Analysis and Advice



TRB Convening Events and Standing Committees



TRB "Professional Society" Functions

- 200 Standing Technical Committees about 4,000+ people
- Constitute communities of interest
- Identify research needs
- Sponsor sessions, conferences, and meetings 50+ events in addition to Annual Meeting
- Review and publish papers and reports
- Share information

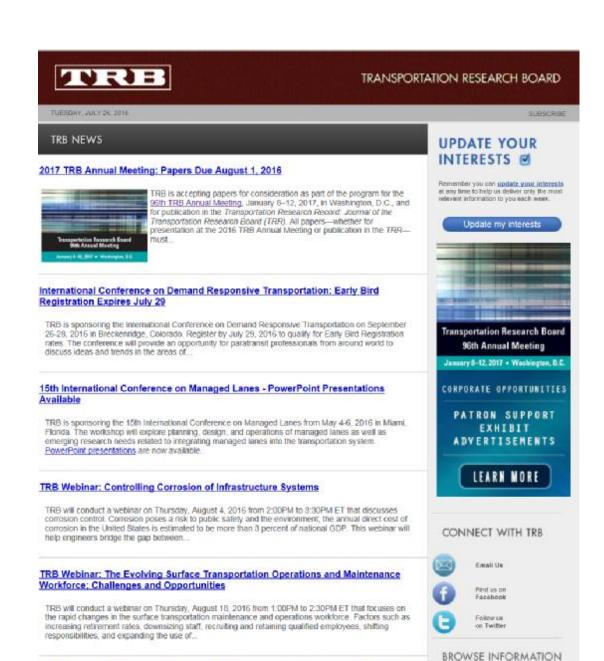
TRB Annual Meeting Today



TRB Annual Meeting Events

- 750 workshops & sessions
- 5,000 technical papers and presentations
- 500 TRB committee meetings
- 150+ other meetings by affiliated groups





TRB Webinar: Moisture and Compaction Measurement during Unbound Aggregate



BY MODE ON TRB.ORG

TRB Research Databases







What is TRID?

Available for Free at trid.trb.org

The Transportation Research International Documentation (TRID) Database is the world's largest, most comprehensive bibliographic resource on published and ongoing transportation research. TRID contains more than 1.1 million records with 200,000+ links to free and fee-based full text.

Produced and maintained by TRB



What is in TRID (trid.trb.org)?

- Federal and state department of transportation reports
- Ongoing, recently completed, or soon-to-start transportation research projects
- TRB publications back to 1920
- University Transportation Centers reports
- Commercial and academic journal literature
- Monographs

TRID also includes international research: the ITRD Database (Europe), the ATRI Database (Australia and New Zealand), and select records from the J-STAGE Database (Japan).



Benefits of Using TRID

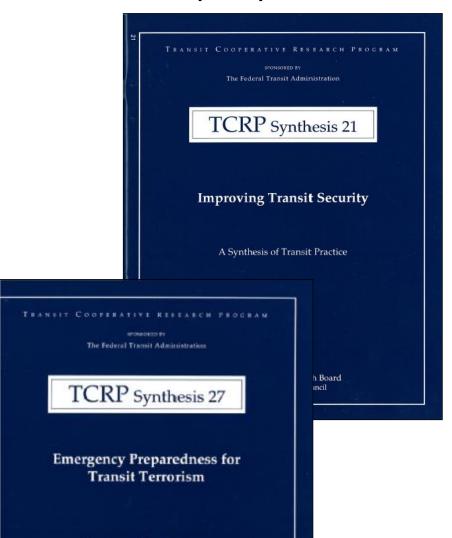
- Locate solutions to problems
- Avoid duplication of work and save resources
- Encourage and facilitate cooperation and partnership
- Identify practitioners and experts in specific research areas

Please contact the TRB Library (**TRBLibrary@nas.edu**) with questions about using TRID.

Cooperative Research Programs

TRB Publications in 1997 & 2000 - Security and Terrorism

- Improving Transit Security (1997)
- Emergency Preparedness for Transit Terrorism (1997)



A Synthesis of Transit Practice



November-December 2000, TR News 211 Transportation Security: Protecting the System from Attack and Theft

2002 APTA/FTA Transit Security Workshops

APTA/FTA Transit Security Workshops January 2002 – May 2002

- 1. New York City
- 2. San Francisco, California
- 3. Atlanta, Georgia
- 4. Chicago, Illinois

CONTRACTOR'S REPORT ON THE 2002 APTA/FTA SECURITY WORKSHOPS

> NEW YORK CITY SAN FRANCISCO ATLANTA CHICAGO

> > Requested by:

American Public Transportation Association

Executive Committee Security Task Force

Prepared by:

Nicholas J. Bahr Booz | Allen | Hamilton 8283 Greensboro Drive McLean, Virginia 22102-3838

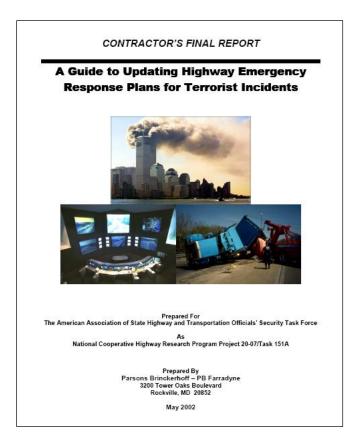
DECEMBER 11, 2002

The information contained in this report was prepared as part of TCRP Project J-10, Task J-10 (1),
Transit Cooperative Research Program, Transportation Research Board

APTA International Transit Security Workshop September 2002 – Leads to Transit Security Exchange Plans



A Guide to Updating Highway Emergency Response Plans for Terrorist Incidents available May 2002



Emergency Transportation
Operations Preparedness
& Response Workshops
For Statewide Applications

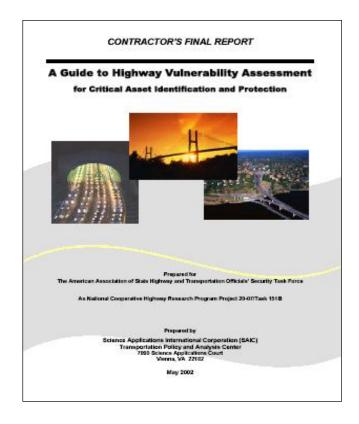
June - November 2003

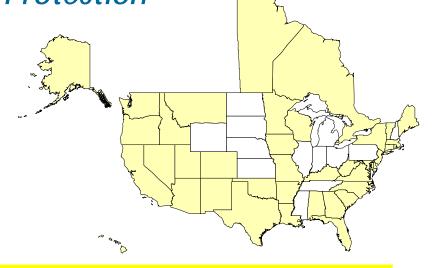
- New Mexico
- Minnesota
- 3. Washington
- 4. Idaho

http://security.transportation.org/sites/security/docs/guide-ResponsePlans.pdf



A Guide to Highway Vulnerability Assessment for Critical Asset
Identification and Protection



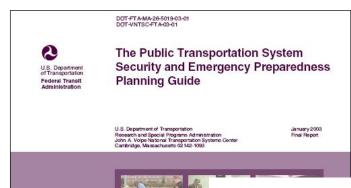


Bridge/Tunnel/Highway Infrastructure Vulnerability Workshop Attendees February-March 2003

- 1. Sacramento, California
- 2. Albany, New York
- 3. Austin, Texas

http://security.transportation.org/sites/security/docs/guide-VA_FinalReport.pdf http://security.transportation.org/sites/security/docs/guide-VA_Appendices.pdf





FEDERAL TRANSIT ADM

The Public Transportation System Security and Emergency Preparedness Planning Guide (2003)

Table 1: Program of Commitments

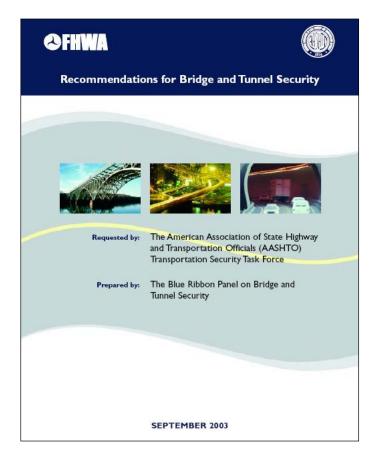
COMMIT to a program that enables the public transportation system to:

- ⇒ PREVENT incidents within its control and responsibility, effectively protect critical assets;
- ⇒ **RESPOND** decisively to events that cannot be prevented, mitigate loss, and protect employees, passengers, and emergency responders;
- ⇒ **SUPPORT** response to events that impact local communities, integrating equipment and capabilities seamlessly into the total effort; and
- ⇒ RECOVER from major events, taking full advantage of available resources and programs.

http://www.transit-safety.volpe.dot.gov/Publications/security/PlanningGuide.pdf



Recommendations for Bridge and Tunnel Security (2003)



"Blue Ribbon Panel on Bridge and Tunnel Security" report presented institutional, fiscal, and technical recommendations

http://www.fhwa.dot.gov/bridge/security/brpcover.htm http://trb.org/news/blurb_detail.asp?id=1872

Identification of R&D Gaps & Needs

TRB Committee on Critical
 Transportation
 Infrastructure Protection
 shares research results
 from all sources & identifies
 research needs

70+ other technical meetings

TRB Annual State Visits to DOTs,

Universities, MPOs, Transit Agencies, Ports, Airports & other

agency reps



 AASHTO Special Committee on Transportation Security & Emergency Management (SCOTSEM) identifies and refers research needs Non-Government Organizations

State/Local Government

Federal Agencies

Private Sector

TCRP Report 86 Series Guides on Transit Security

- 1 Communication of Threats
- 2 K9 Units
- 3 Robotic Devices
- 4 Intrusion Detection
- 5 Customer Communications and Training
- 6 Portable Explosive Detection Devices
- 7 Security Awareness for Employees
- 8 Continuity of Operations Planning

- 9 Emergency Drills and Exercises
- 10 Hazard and Security Plan Workshop
- 11 Security Measures for Ferry Systems
- 12 Tunnel Security Countermeasures
- 13 Passenger Security Inspections

NCHRP Report 525 Series Guides on Surface Transportation Security

- 1 Responding to Threats
- 2 Information Sharing and Analysis
- 3 Incorporating Security into Planning
- 4 Terrorism-Related Risk Management
- 5 Managing Sensitive Information
- **6 Emergency Operations**
- 7 Security Awareness for Employees
- 8 Continuity of Operations Planning

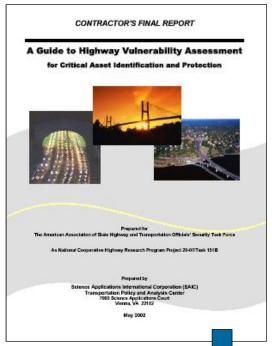
- 9 Emergency Drills and Exercises
- 10 Public Health Disasters
- 11 Disruption Impact Estimation
- 12 Tunnel Security Countermeasures
- 13 Traffic Control for Agricultural
- Emergencies
- 14 Physical Security Primer
- 15 Costing Asset Protection
- 16 Emergency Response Planning





Risk-Informed Decision Support

Continuous Development of Risk Management and Emergency Response Planning Guidance



Published 2009:

NCHRP Report 525, Vol. 14

Security 101: A Physical Security

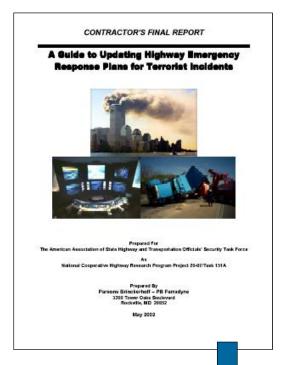
Primer for Transportation Agencies

2002: Guides to Vulnerability Assessment & Emergency Response Planning

2002-2003: workshops

2004-2005: publications that anticipated NIMS, NRP/NRF, and NIPP.

2012: publications adopted by AASHTO



Published 2010:

. . Vol. 16

NCHRP Report 525, Vol. 16

A Guide to Emergency Response Planning at State Transportation Agencies

NCHRP Report 525, Vol. 14

Security 101: A Physical Security Primer for Transportation Agencies (2009)

Chapter 1: Risk Management and Risk Assessment

Chapter 2: Plans and Strategies

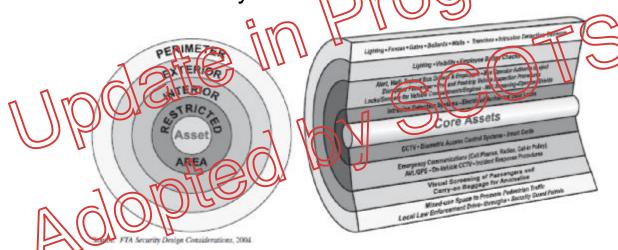
Chapter 3: Physical Security Measures

Chapter 4: Security Personnel and Training

Figure 3-2. Layers of security.

Chapter 5: Infrastructure Protection

Chapter 6: Homeland Security



NCHRP Web-Only Document 221/ TCRP Web-Only Document 67 Effective Practices for the Protection of Transportation Infrastructure from Cyber Incidents (December 2015)

Objective: develop (1) a primer and (2) a briefing for transportation system owners and operators explaining the nature of cyber events and their operational and safety impacts. These products contain a list of effective practices that can be used to protect transportation systems from cyber events and to mitigate damage should an attack or breach occur.

NCHRP Report 525, Volume 16

A Guide to Emergency Response Planning at State Transportation Agencies (2010)

Guide

- Summary
- Overview for state transportation agencies (authorities, etc.)
- High-level requirements based on national policies and guidelines
- High-level self-assessment with pointers

Section 6: Resource Guide

Organizational/staffing/position guidance

Decision-making sequences

Detailed self-assessment and resource list



NCHRP Report 525, Vol. 15

Costing Asset Protection: An All Hazards Guide for Transportation Agencies (CAPTA, 2009)

Application Context

- Top-down, program level to support resource allocation
- Consequence-driven based on user-selected thresholds ("possibilistic")
- Iterative use to compare/refine assumptions

Model Attributes

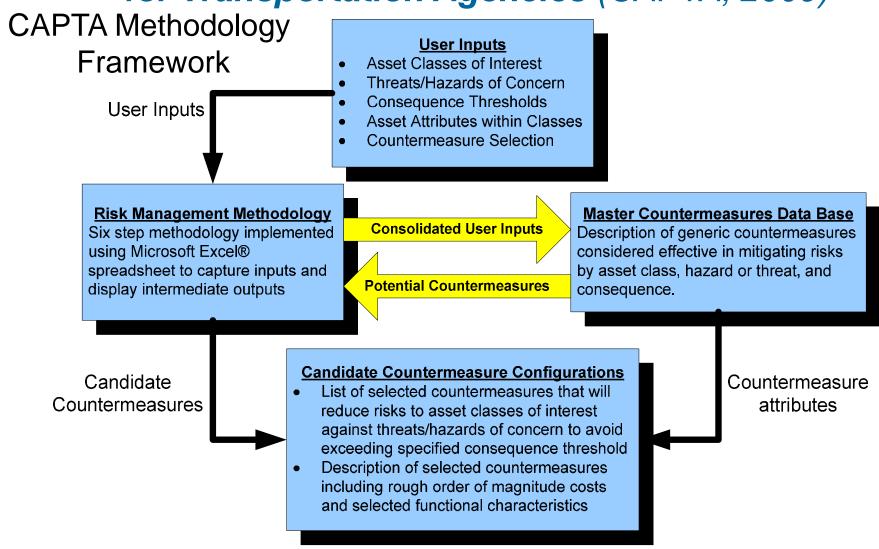
- Objective when possible use data rather than "best judgment"
- Transparent avoid "weighting and rating"
- Consistent uses simple, available data and criteria, standard data base, default values
- Replicable identify basis of all judgments

User Features

- Convenient uses available resources (people and settware) and imbedded data model
- Scalable support a range of user contexts, mode, hazards,
- Expandable to accommodate new threats/hazards, asset types, and countermeasures

NCHRP Report 525, Vol. 15

Costing Asset Protection: An All Hazards Guide for Transportation Agencies (CAPTA, 2009)



Feedback & Iteration

NCHRP Report 525, Vol. 15

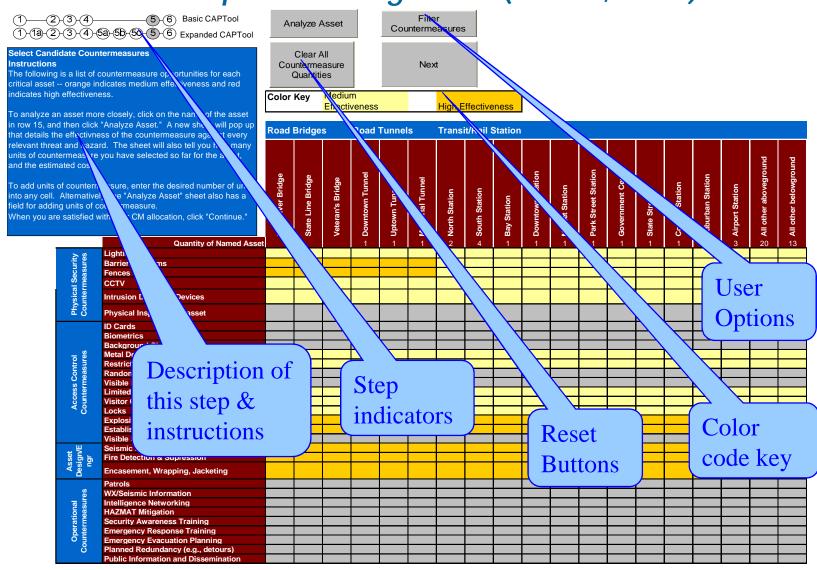
Costing Asset Protection: An All Hazards Guide for Transportation Agencies (CAPTA, 2009)



Basic CAPTA	Steps in Methodology	Expanded CAPTA
1	Identify Relevant Risks and Asset Classes	1
	Verify High Consequence Threats and Hazards	1 a
2	Establish Consequence Thresholds	2
3	Describe Infrastructure Assets	3
4	Identify Critical Assets Across Modes	4
	Review Countermeasure Unit Costs	5a
	Identify and Describe Additional Countermeasures	5b
	Set Countermeasure Filters based on User Preference	5c
5	Select Candidate Countermeasures	5
6	Summary Report	6

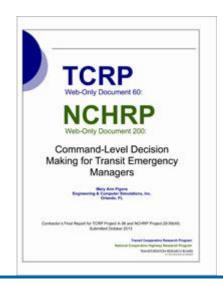
NCHRP Report 525, Vol. 15

Costing Asset Protection: An All Hazards Guide for Transportation Agencies (CAPTA, 2009)



TCRP Web-Only Document 60 / NCHRP Web-Only Document 200 Command-Level Decision Making For Transit Emergency Managers (2014)

Objective: develop a scenario-based training system compliant with federal standards (e.g., the National Incident Management System and the Homeland Security Exercise Evaluation Program) and relevant transit industry standards and regulations. It is anticipated that the training system will be delivered through an automated, functional exercise simulation system capable of providing on-demand emergency response training and exercises.









TCRP Project A-36 / NCHRP Project 20-59(49) / ACRP Project 4-04 Command-Level Decision Making For Transportation (2017)



TRANSPORTATION EMERGENCY RESPONSE APPLICATION

NCHRP Web-Only Document 215 Incident Command System (ICS) Training for Field Level Transportation Supervisors and Staff (December 2015)

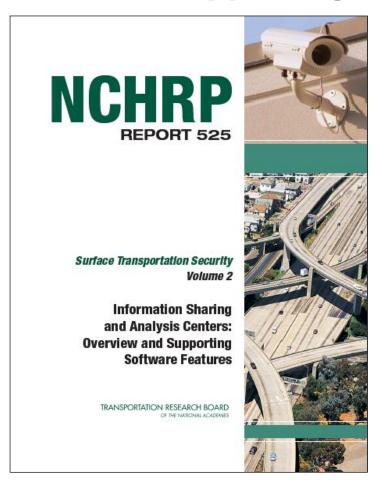
NIMS/ICS: Perform Reliably & Effectively

- Goal of NIMS/ICS: Reliable and effective response to an event, emphasizing safety of DOT staff
- Achieved through
 - Safety
 - Check-in, check out, demobilization
 Check-In, Check-Out, and Demobilization at ICP
 - Personnel accountability
 - Food, shelter, family contacts
 - Reimbursement
 - The job you save may be your own
 - MAP-21 changes, debris removal reimbursement



NCHRP Report 525, Vol. 2

Information Sharing and Analysis Centers: Overview and Supporting Software Features (2004)



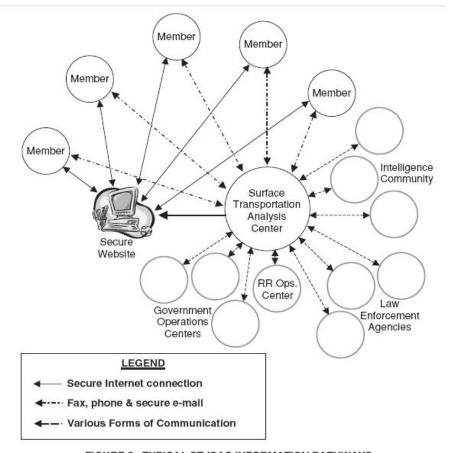
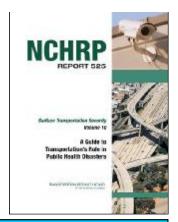


FIGURE 6: TYPICAL ST-ISAC INFORMATION PATHWAYS



NCHRP Report 525, Vol. 10 A Guide to Transportation's Role in Public Health Disasters (2006)

- Transportation response options to an extreme event with chemical, biological, or radiological agents
- Focuses on the effect and role of transportation
- Applicable to all civilian sites (not just transportation sites)



TERET (Tracking Emergency Response Effects on Transportation) – Spreadsheet Layout

Sheet 1: Introduction Provides summary instructions

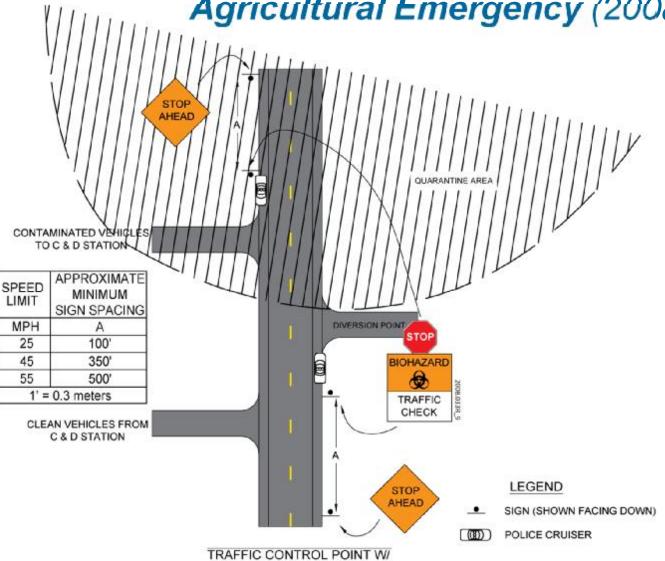
Sheet 2: Basic Services Assess criticalities that may develop from ER changes in traffic patterns. Sheet 3: Mass Care Assess needs during shelter-inplace, temporary shelters, or quarantine shelter.



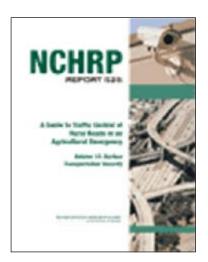
	Δ.	- 6	100	0	E	F	- 6			
7										
	Mass Care Transportation	Needs	: Deco	mrinage, s	nemer-in	-prace, i	emp			
	Mass Care Objectives (from inc									
2					- Djooti i	es firei	_			
3	Decontamination Facilities:	Shelter-in-Place:				Temp				
	Number of hours since mass care ac	Hours of Need for				Mass				
5		Hours until		Physical Destruction	Radio-	Chemical				
,	Mass Care Needs					- Circuited				
		Initial	Current	(hunicane,	logical		No			
0	Decon, Triage, Pre-hospital Treatment	matan	Current	explosion, etc)		Persistent	Persis			
	During evacuation until all evacuees are treated.	Total h				6				
9	A Control of the Cont	(for decor	demination)			4				
0	Maps Public Transport To decontamination, triage, pre-treament	0	D	D	0	0	0			
2	From triaged pre-treatment to hospitals	0	0	0	0	ő	0			
0	From decontamination to shelters	0	D	0	0	0	0			
4										
5	Standard Decontamination Supplies									
6	Soap, water	1.0	- 1	0	0	0	. 0			
2	Portable showers, tents	- 1	- 1	0	0	0	0			
B	Clothes	1	1	0	0	0	0			
9	Alkalize solution (carbonate or bicarbonate)	1	1	0	0	0	0			
1	Alkanse toution (carbonate or ocarbonate)		- 63		- 11		- 4			
22	Reduced Power or Water Conditions									
3	Water (bottled)	0.	D.	0	0	0	0			
4	Portable Toiletz	9	3	0	0	0	0			
15	Butteles		- 8	0	0	0	. 0			
×	loe (warm olimate)	24	24	0	0	0	0			
7	Fuel / Heat (cold olimate)	2	2	0	0	0	0			
18	Shotter In Place — delivery until	Total hours>								
9	avacuation or cafe levels		er-in-place)							
17	Temporary Shelter Shelter deliveries until other housing or safe levels	Total hours → (for shelter)		0	0	0	0			
	Quarantine Shelter Until not contagious	Total h			÷		Ē			

NCHRP Report 525, Vol. 13

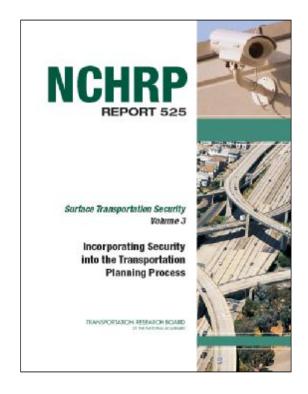
A Guide to Traffic Control of Rural Roads in an Agricultural Emergency (2008)



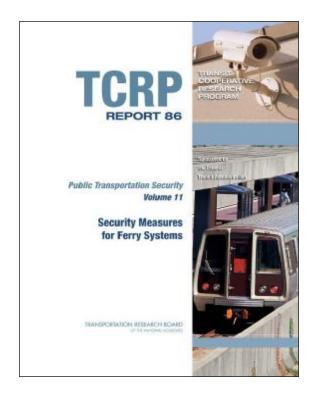
CLEANING & DISINFECTION SITE



NCHRP Report 525, Vol. 3 Incorporating Security Into the Transportation Planning Process (2005)



TCRP Report 86, Vol. 11 Security Measures for Ferry Systems (2006)



ACRP Report 5

Quarantine Facilities for Arriving Air Travelers: Identification of Planning Needs and Costs (2008)

Table 1. Total stand-by costs.

1. Cost of Space in a Separate Facility if Used for Quarantine

Needed: 20 square feet per person x 200 people = 4,000 square feet

7 additional rooms for: recreation/leisure (3), office area, food assembly and serving, medical, and storage. Each room 500 square feet x 7 = 3,500 square feet. **Total space:** 7,500 square feet

Approximately \$2.00 per square foot/month x \$7,500 = \$15,000 per month

Value of the space: \$15,000 per month



2. Privacy Partitions and Space Dividers

Partitions needed for sleeping areas—approximately 320 partitions (based on 10 individually divided spaces and 50 other divided spaces occupied by couples or small families). 7 other divided spaces for recreation/leisure (3), office area, for assembly and serving, medical, and storage—approximately 22 (2-3 dividers perspace depending if it is on location next to walls or at end of aisles)

342 dividers x \$200 each = \$68,400*

3. Storage

Lockers—6 tiered metal lockers (size 1 cu ft.) with 3 for each row (18 individ lockers) x 12 @ \$325 each = \$3,900

4. Cleaning supplies

Commercial mopping combo @ \$26.00 x 5 = \$130* Mops @ \$11 each x 5 = \$55*

Trash cans: 1 44-gallon cans per 20 people plus 1 for each of 7 "other use"

spaces and 3 extra = 20 cans x \$45 per can = \$900*Cleaning liquids, approximately 25 gallons x \$7.00 per gallon = \$175

Trash can liners @ \$1.50 per liner x 20 cans x 14 days = \$420

	APPENDIX A. CDC DISEASE QUARANTINES										
1	Steen / Edward	Ayreptions in Early Heap (professor steps)	Symptom Ser Full Mores (Marie (Adminus) steps)	Posted (or mage and range for 80% of mars)	Motasin d Conglessors	Heliul for Hugenic in Early Sings	Metal for Nagana desira bendutus				
or oo oo	Dyktheric 14	Malain, see threat, less of appetite, endocan lower and furting cough,	Adhoral, gay wondener limits over the discuss membrane of the smole analor gharyes.	33 days finango f - 18 daysit.	Disord persons in persons transmission by intimate conferency and physical contact. Cetanovas leakins are important in pranomission.	Detection of the fethal and potent towing produced by the fractals that usuan the allocate C. dightherman in the definition trust in results and appellors. Also, surfage the lovels of two strayman trajectories and appellors of the strayman and degenerate the second of the strayman degenerate and degenerate the second of the strayman and degenerate.	None catablished by CDC.				
	Infections TR 5-10	Prolonged recurrent force, durant- owagt, exercise, falgae, and weight less.	Coughing Sood truss the lange, Chemic (Morpother Pathemary Decay, abserted overching and estagging of the respiratory pressure season by these Sockage, final in the lange.	Average incoheron period 21 works, 95% of cases with develop widths 15–26 works.	Ethone root: Exceled period of clos-contact.	Absorbed chair radiograph. Respiratory speciment enters or colour pressive. Tubercode Side Total (SST) or Quantificated. Till Total praiding. Pyropheno hands unethination of phromio-cough (c) worked, weight loss, and fatigue.	Openidonosil-TD Test,				
	Clockers VL-M	c20% of Choices guizets will show any symptoms before full count of discuss.	Copinse, patrices, watery distribus. Vortiling also cocurs in must potentia.	Shore translation pariod, from item Share-min step to Fees days.	Ingesting contaminated states on Final, parame in payment inscentialists in turn.	Diagnosis is confirmed by signs ficulties of the regarden to a sixel spectrum.	Now could shall by CDC.				
	Smilgox II-31	High fover, back pain, boulactor, sonicing, makine, and promption.	Manipopular out that proposes to populos, those works, and then pureline and each landow.	Socialistics period enorage about 12 to 14 days but can tange from 7 se 17 days.	Special by inhabition of air displicts or accounts. Three contain 6-7 hort and fairly provinged approximately 3 found there to have contain in required to spread small-pos- litatives phrase to another.	Charyclothic sub and symptoms (level, dedoninal pair, etc.). There to picture spec (EM visualization, ET- PCR, Confirmation.	Close creduct of case, virso found in three during incortains.				
12	Historings: Fower Vincent 25-54	Four, a fing mocks, dictions, not pair, stilling, technick, fordiscle, and upon and annalying to light. Nation, verticing, and final, diarrhos, and journal and addressed pairs. Liver enlargement.	modes, and is such searchity blanding into the date. Blanding in the smooth and threat, the appear	Direct 2.23 days. Langer of involution may depend on the mode of acquaintees (Crimeans Congo Phys act Res. Dr Jaley, with a maximum of 9 days; informed bland or timmes in amountly 3 or 6 days, with a direct mention on a transport of 31 days.	Direct contact with feeds or other inhand Gassas from literaces or feel bin. Human is human-close contact.	After 6-days of discass, antibodies—and the demand (light or light). Prior to the, when the inclinated from Hend or flaces questions and grows in time cells. Viral (DNA maps also the ginnermal in the blood.	Vite cat is located from Stood or feeding procinous in the Stot Serv days of Stoom, and grown in cold culture. Likely to find store in trace seath, susal modes, Morell, tyroph, and presentatings.				
	Plager 25-31	Fivez, thills, beadads, malaise, whing muscles, toures, and processes. Introde player painful newlest length touth. Theorems, player, cough, becaming difficulties.	Bultonic: sharing the one of the flexible. Personnels: plague: bloody space.ii.	Bigitosic: 24 days. Phosesocic: 24 days with range of 8 6-days.	His https: . Throot consists with influctions animals or other manufacture introduction of judicative templaturery droplem. Imparison.	The ownfeet gland catholic "halve," I'll Ag- inemproviption ELEEA, Catture by each by specific humanley bugs.	None catallished by CDC. Bursaria likely floor by throus swat-or in lyapit.				

NCHRP Report 740 (2013) A Transportation Guide for All-Hazards Emergency Evacuation

Objective to develop an all-hazards emergency evacuation guide for transportation and emergency management agencies that integrates the broad community of resources that are necessary to plan, train, exercise, and execute evacuations.



Security-, Emergency Management-, and Infrastructure Protection-related Projects In Development (May 2017)

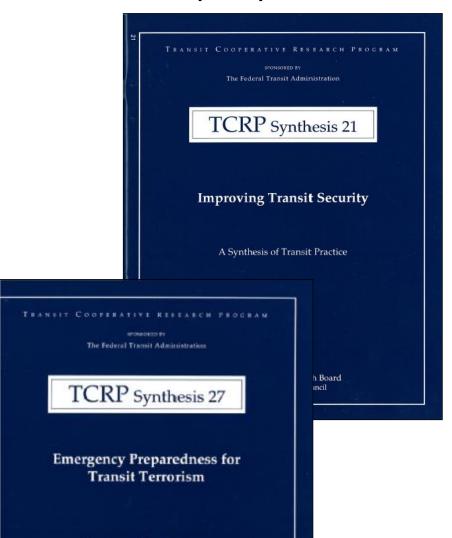
- Climate Resilience and Benefit Cost Analysis--A Handbook for Airports
- 2. Emergency Communication Models for Persons with Disabilities and Non-English Speakers
- 3. Airport Public Health Preparedness and Response: Legal Rights, Powers, and Duties
- 4. Train-the-Trainer Regional Workshops for *Incident Command System for Field Level Transportation Supervisors and Personnel*
- 5. Update of A Pre-Event Recovery Planning Guide for Transportation
- 6. Voice and Data Interoperability for Transportation
- 7. Impacts of Connected/Automated Vehicles on State and Local Transportation Agencies
- 8. Deploying Transportation Security Practices in State DOTs
- 9. Emergency Management in State Transportation Agencies
- 10. Deploying Transportation Resilience Practices in State DOTs

The Past Is Prologue

1. Prior to September 11, 2001

TRB Publications in 1997 & 2000 - Security and Terrorism

- Improving Transit Security (1997)
- Emergency Preparedness for Transit Terrorism (1997)



A Synthesis of Transit Practice



November-December 2000, TR News 211 Transportation Security: Protecting the System from Attack and Theft

2. Stage I Immediate Aftermath of September 11, 2001:

Information Sharing

2002 APTA/FTA Transit Security Workshops

APTA/FTA Transit Security Workshops January 2002 – May 2002

- 1. New York City
- 2. San Francisco, California
- 3. Atlanta, Georgia
- 4. Chicago, Illinois

CONTRACTOR'S REPORT ON THE 2002 APTA/FTA SECURITY WORKSHOPS

> NEW YORK CITY SAN FRANCISCO ATLANTA CHICAGO

> > Requested by:

American Public Transportation Association

Executive Committee Security Task Force

Prepared by:

Nicholas J. Bahr Booz | Allen | Hamilton 8283 Greensboro Drive McLean, Virginia 22102-3838

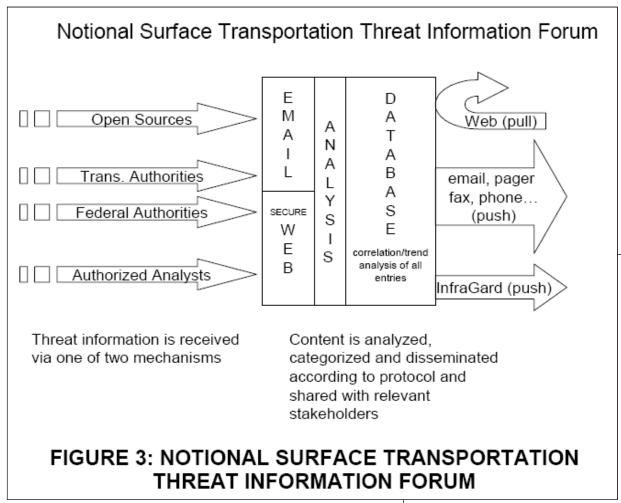
DECEMBER 11, 2002

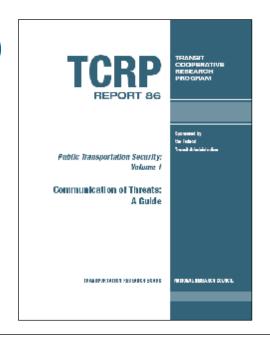
The information contained in this report was prepared as part of TCRP Project J-10, Task J-10 (1),
Transit Cooperative Research Program, Transportation Research Board

APTA International Transit Security Workshop September 2002 – Leads to Transit Security Exchange Plans



TCRP Report 86, Vol. 1 Communication of Threats: A Guide (2002)





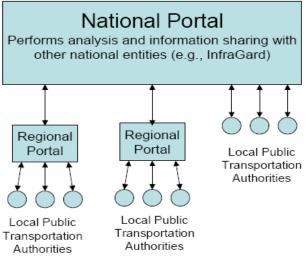
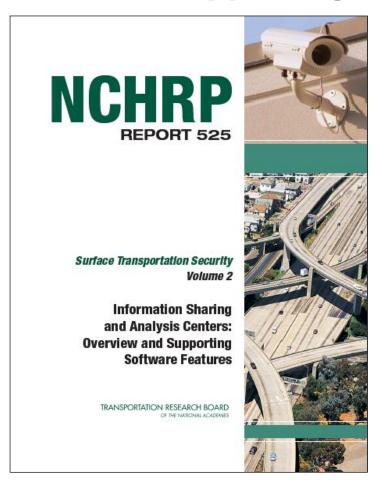


FIGURE 4: DISTRIBUTED IMPLEMENTATION MODEL

NCHRP Report 525, Vol. 2

Information Sharing and Analysis Centers: Overview and Supporting Software Features (2004)



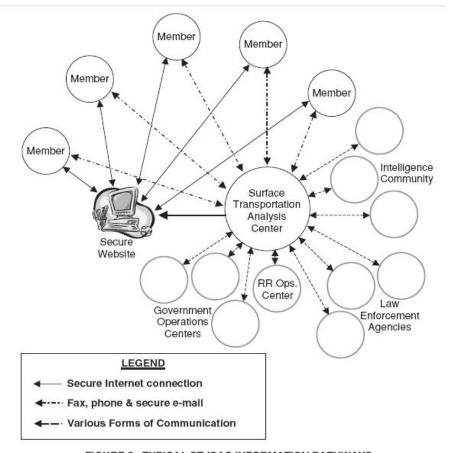


FIGURE 6: TYPICAL ST-ISAC INFORMATION PATHWAYS



Security White Paper (2006) Identifying and Evaluating Implementation Options for a Highway Asset ISAC

Project No. 20-59(7A)

IDENTIFYING AND EVALUATING IMPLEMENTATION OPTIONS FOR A HIGHWAY ASSET ISAC

FINAL REPORT

Prepared for National Cooperative Highway Research Program Transportation Research Board National Research Council

> Vicki Glenn CACI Premier Technology, Inc. Chantilly, Virginia NCHRP Project 20-59(7A)

> > February 2006

The information contained in this report was prepared as part of NCHRP Project 20-59, National Cooperative Highway Research Program, Transportation Research Board.

3. Stage I

Technology Assessments

Security White Paper (May 2002) Public Transportation System Technology Clearinghouse

Task-Order #J-10(2)B

SECURITY WHITE PAPER ON PUBLIC TRANSPORTATION SYSTEM TECHNOLOGY CLEARINGHOUSE

Performed under: Contract NAS#112 --Task-Order Support for Surface Transportation Security Research

Submitted to:

Mr. S. A. Parker, Project Manager Transit Cooperative Research Program Transportation Research Board National Research Council 2001 Wisconsin Avenue, NW Washington, DC 20007

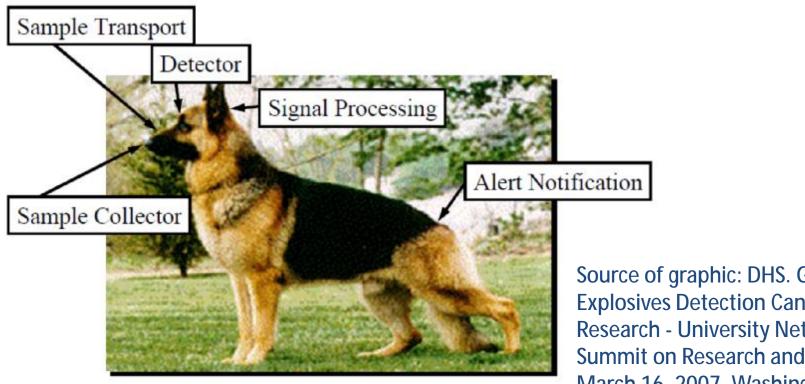
Submitted by:

Roger Jenkins (P.I.) Science Applications International Corporation 1710 SAIC Drive McLean, VA 22102 (703) 676 - 8128

Date: May 10, 2002

TCRP Report 86, Vol. 2 **K9 Units in Public Transportation:** A Guide for Decision Makers (2002)

Anatomy of a Detection System



Source of graphic: DHS. Gongwer -**Explosives Detection Canine** Research - University Network Summit on Research and Education, March 16, 2007, Washington DC

TCRP Report 86, Vol. 2 **K9** Units in Public Transportation: A Guide for Decision Makers (2002)



the Fed

TABLE 22: ACTIVITY OF DUAL PURPOSE K9 TEAM

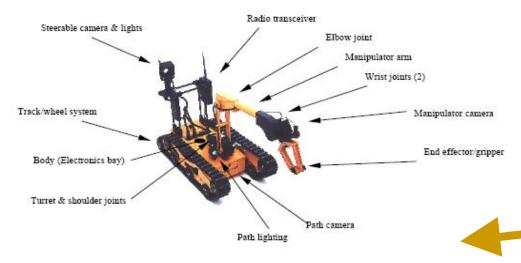
Public Transportation Security: Volume 2

K9 Units in Public Transportation: A Guide for Decision Makers

TRANSPORTATION RESEARCH ROARD

Number per Year Activity Public Relations and Other Demonstrations 10-20 Patrol Tours or Routes (two-hour shifts) 500-700 Narcotics Searches 25-50 Article Search 25 **Building Search** 100 Suspect Tracking 50 Victim or Lost Person Tracking Police Officer Assist Calls 50 25 Local Agency Assist Calls Arrests Made or Supported 12-50 Trials and Competitions

TCRP Report 86, Vol. 3 Robotic Devices for the Transit Environment (2003)



Robot Vehicle



Operator Control Station

By permission of BOD Performance

INTRODUCTION

2 OVERVIEW

2 ENVIRONMENTS

Structures, 2

Vehicles, 4

Vehicle Access/Egress, 4

Vehicle Pathways, Overheads, and Transitions, 6

Vehicle Special Obstacles, 7

Roadways and Terrain, 9

Weather Conditions, 10

Optical Navigation Environments, 10

Radio Environments, 10

Hazardous Environments, 10

Other Requirements, 11

Requirements Specification, 12

13 AVAILABLE ROBOTIC SYSTEMS

Introduction to Robotic Systems, 13

Robot Vehicle I Operator Contro

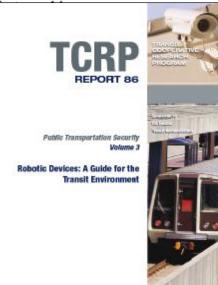
Available Systems, 1

20 SELECTION ANALY

Selection Rationale, 1 Operator Demands, 7

22 GLOSSARY

23 BIBLIOGRAPHY



TCRP Report 86, Vol. 4

Intrusion Detection for Public Transportation Facilities Handbook (2003)

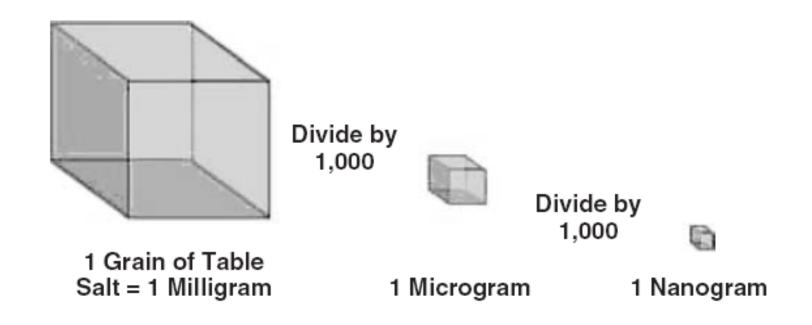
Checklist:

Is Point-of-Contact information readily

system?

Does the lighting system meet the transit agency's established security requirement? Does the lighting system comply with the local building and safety codes? Have lighting effects on neighboring buildings or private homes been considered? Public Transportation Security Are sufficient portable lighting devices available? Is there a need for specialized spotlighting or infrared (IR) lighting? Intrusion Detection for Public Transportation If required, is there adequate backup electrical power to support the lighting system? **Facilities Handbook** Is the lighting system clear of any obstructions within 6-feet (minimum) to 20-feet (ideal)? Is the lighting system properly secured to prevent removal, displacement, modification or TRANSPORTATION RESEARCH BOARD theft? If required, are there adequate signs or language(s)? Are procedures in place for routine inst hardware? Have the system operators/maintainers/ input to the selection of this system? Are there adequate spare parts to suppo

Applicability of Portable Explosive Detection Devices in Transit Environments (2004)



Sensitivity of the tested device: 10 nanograms (not to scale)

4. Stage I

Decontamination

Security White Paper (August 2002) Public Transportation System Nuclear, Biological, and Chemical Decontamination Procedures

Task-Order #J-10(2)A

SECURITY WHITE PAPER ON PUBLIC TRANSPORTATION SYSTEM NUCLEAR, BIOLOGICAL, AND CHEMICAL DECONTAMINATION PROCEDURES

Final

Performed under: Contract NAS#112 --Task-Order Support for Surface Transportation Security Research

Submitted to:

Mr. S. A. Parker, Project Manager Transit Cooperative Research Program Transportation Research Board National Research Council 2001 Wisconsin Avenue, NW Washington, DC 20007

Submitted by:

Roger Jenkins (P.I.)
Science Applications International Corporation
1710 SAIC Drive
McLean, VA 22102
(703) 676 - 8128

Date: August 23, 2002

Innovations Deserving Exploratory Analysis (IDEA)

Nine Transit IDEA projects address security.



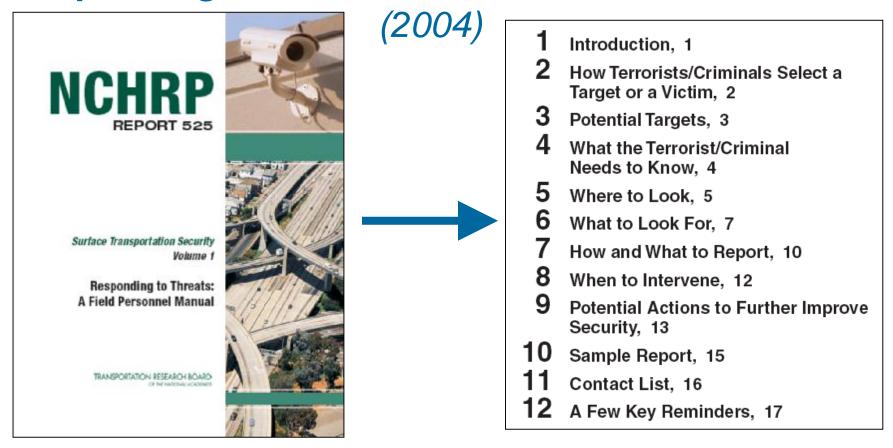
January 6, 2006, presentation on Transit IDEA Project 45, Chemical and Biological Decontamination System for Rail Transit Facilities (completed January 2007).

5. Stage I

Training

NCHRP Report 525, Vol. 1

Responding to Threats: A Field Personnel Manual



Provides a draft template that contains basic security awareness training in a workbook format that can be redesigned as a pamphlet, glove-box brochure, or other user-specific document.

NCHRP Report 525, Vol. 7 System Security Awareness for Transportation Employees (2005)



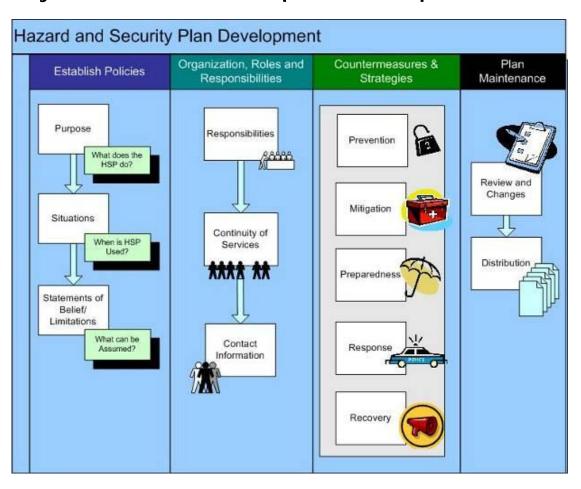
An interactive CD-ROM training course; also provided as train-the-trainer and by direct delivery through the National Transit Institute



TCRP Report 86, Vol. 10

Hazard and Security Plan Workshop: Instructor Guide (2006)

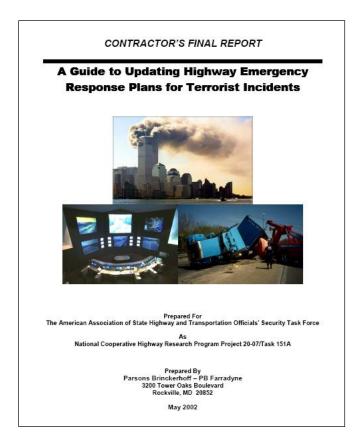
Hazard and Security Planning Tools for Rural, Small Urban, and Community-Based Public Transportation Operations



6. Stage I

Immediate Guidance on Risk Management and Emergency Response

A Guide to Updating Highway Emergency Response Plans for Terrorist Incidents available May 2002



Emergency Transportation
Operations Preparedness
& Response Workshops
For Statewide Applications

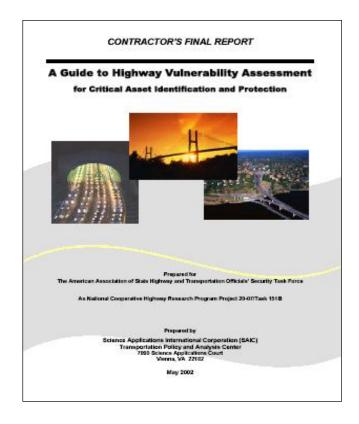
June – November 2003

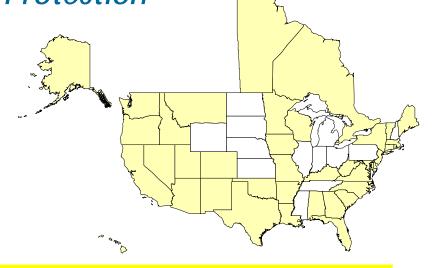
- New Mexico
- Minnesota
- 3. Washington
- 4. Idaho

http://security.transportation.org/sites/security/docs/guide-ResponsePlans.pdf



A Guide to Highway Vulnerability Assessment for Critical Asset
Identification and Protection





Bridge/Tunnel/Highway Infrastructure Vulnerability Workshop Attendees February-March 2003

- 1. Sacramento, California
- 2. Albany, New York
- 3. Austin, Texas

http://security.transportation.org/sites/security/docs/guide-VA_FinalReport.pdf http://security.transportation.org/sites/security/docs/guide-VA_Appendices.pdf



NCHRP Report 525, Vol. 4 A Self-Study Course on Terrorism-Related Risk Management of Highway Infrastructure (2005)

Vulnerability I	ssues	Countermeasures	C/	Έ	
Perception	•Demonstrated defense	•Deter Discourage attacks by visibility of countermeasures			l †
Ease of Access	•Adjacent land- use •Road approach •Vessel approach	•Deny Increase standoff distance from bridge substructure and tunnel entrances	tection	cost	ness
Clear zone	Adjacent vegetationAdjacent buildings	•Dynamic Threat-adjustable operational measures (inspections)	Level of protection	Level of cost	Cost-effectiveness
Exposure	Lighting levelVisibility	•Detect Monitor access to bridge substructure and tunnel			Co
Time on target	•Detection •Response	portals to minimize time on targets			
Structure	•Scale •Specific features	•Defend Harden key structural elements			

Security White Paper (May 2003) Security Measure Prioritization Tools: A Guide for Transportation Decision Makers

J-10A(4)

SECURITY MEASURE PRIORITIZATION TOOLS: A GUIDE FOR TRANSPORTATION DECISION MAKERS

FINAL REPORT



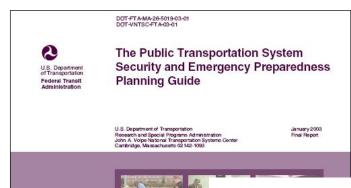
Prepared for Transit Cooperative Research Program Transportation Research Board

JOHN N. BALOG, PRINCIPAL INVESTIGATOR MCCORMICK, TAYLOR & ASSOCIATES, INC.

ANNABELLE BOYD BOYD, CATON & GRANT TRANSPORTATION GROUP, INC.

> JAMIE BETH STRONGIN MCCORMICK, TAYLOR & ASSOCIATES, INC.





FEDERAL TRANSIT ADM

The Public Transportation System Security and Emergency Preparedness Planning Guide (2003)

Table 1: Program of Commitments

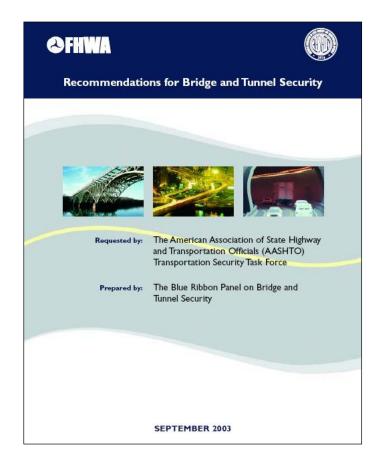
COMMIT to a program that enables the public transportation system to:

- ⇒ PREVENT incidents within its control and responsibility, effectively protect critical assets;
- ⇒ **RESPOND** decisively to events that cannot be prevented, mitigate loss, and protect employees, passengers, and emergency responders;
- ⇒ **SUPPORT** response to events that impact local communities, integrating equipment and capabilities seamlessly into the total effort; and
- ⇒ RECOVER from major events, taking full advantage of available resources and programs.

http://www.transit-safety.volpe.dot.gov/Publications/security/PlanningGuide.pdf



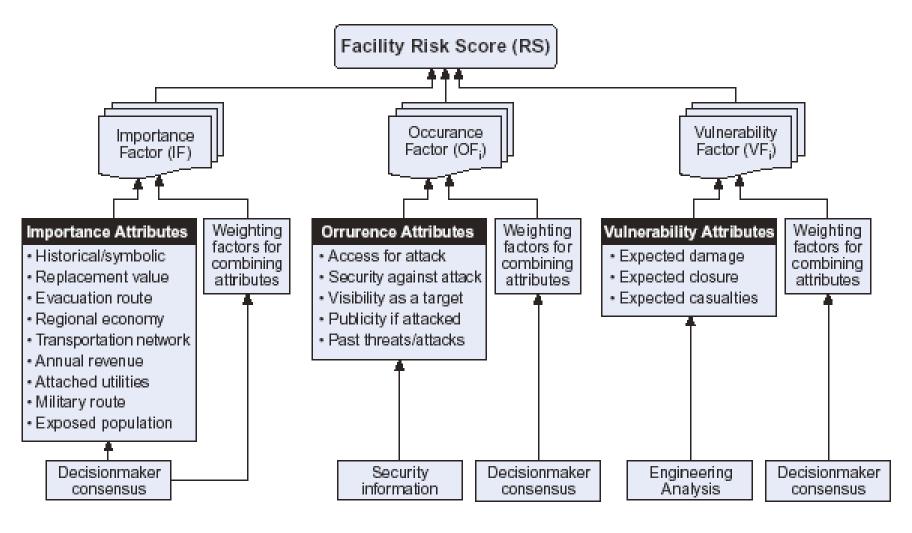
Recommendations for Bridge and Tunnel Security (2003)



"Blue Ribbon Panel on Bridge and Tunnel Security" report presented institutional, fiscal, and technical recommendations

http://www.fhwa.dot.gov/bridge/security/brpcover.htm http://trb.org/news/blurb_detail.asp?id=1872

Components in Risk Assessment for a Facility



7. Stage II

Development of Organizational Capacity to Support Security and Emergency Management Activities

NCHRP Report 525, Vol. 3

Incorporating Security Into the Transportation Planning Process (2005)

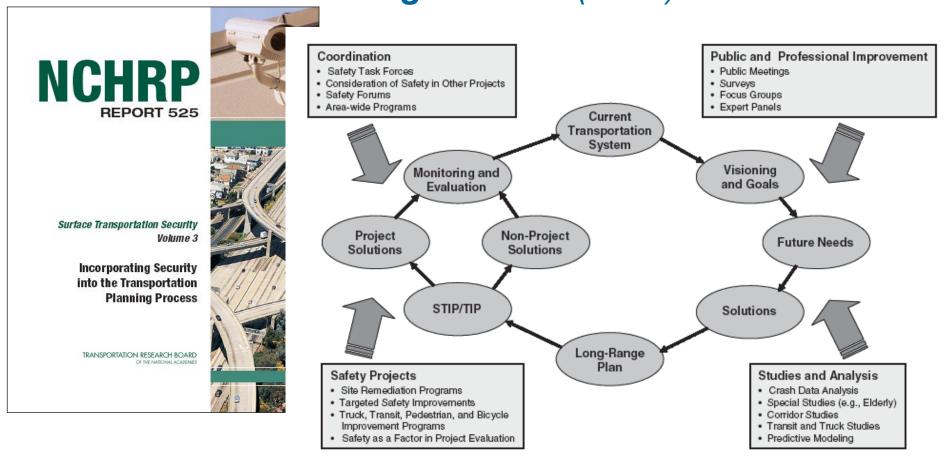


Figure 3. Overview of the transportation planning process in the context of safety (adapted from FHWA, Citizen's Guide to Transportation Decisionmaking, FHWA EP-01-013, 2001).

Peer Exchange Series: State & Metropolitan Transportation Planning Issues Disaster Response in Transportation Planning (2007)

PEER EXCHANGE SERIES ON STATE AND METROPOLITAN TRANSPORTATION PLANNING ISSUES

MEETING 3:

DISASTER RESPONSE IN TRANSPORTATION PLANNING

Requested by:

American Association of State Highway and Transportation Officials (AASHTO)

Standing Committee on Planning

Prepared by:

Jocelyn Hoffman Patricia G. Hendren, Ph.D. Cambridge Systematics, Inc. Bethesda, Maryland

With

Russell Henk, Texas Transportation Institute (TTI)

September 2007

The information contained in this seport was prepared as part of NCHPP Project 00-36, Task 60 (03), National Cooperative Highway Fessanch Program. Transportation Fessanch Board.

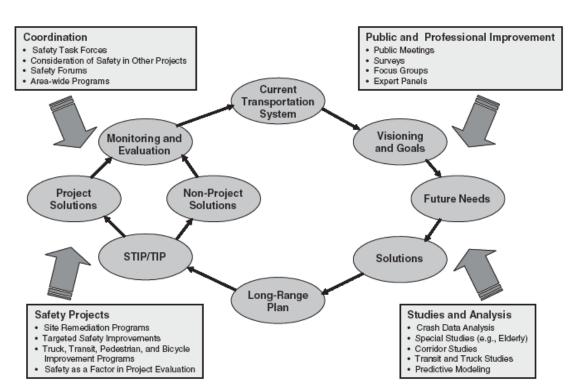
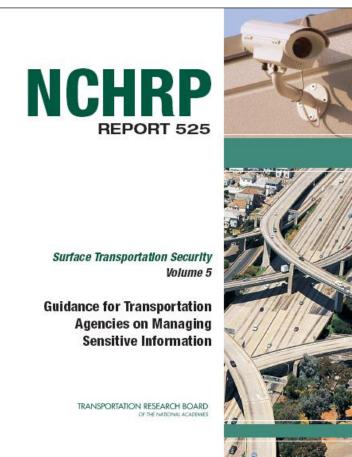


Figure 3. Overview of the transportation planning process in the context of safety (adapted from FHWA, Citizen's Guide to Transportation Decisionmaking, FHWA EP-01-013, 2001).

NCHRP Report 525, Vol. 5

Guidance for Transportation Agencies on Managing Sensitive Information (2005)

- 1 Establishing a Sensitive Information Management Policy, 1
- 2 Identifying Sensitive Information, 3
- 3 Controlling Access to Sensitive Information, 5
- 4 Keys for Success, 10
- Appendix A Florida DOT's Exempt
 Documents and Security
 System Plan Request Form, A-1
- Appendix B Texas DOT's Confidential Safety Information Memorandum. B-1
- Appendix C Examples of State Legislation to Exempt Selected Sensitive Transportation-Related Information from State "FOIA" Laws, C-1



NCHRP Report 525, Vol. 6

Guide for Emergency Transportation Operations (2005)

Incident Management Process

State DOT Process



Preparation/ Mitigation Establish formal ETO policy

Prepare for all

Identify objectives and desired performance

Change laws as necessary Policy and Planning (Headquarters and Other Agencies)

Other Agencies

Prepare for all hazards	Allocate resources	Formulate program
Manage performance	Deploy technology and resources	Develop procedures

Programming and Budgeting (Headquarters and Other Agencies)

> Operational Planning (Districts)

Response Emergency Transportations Operations (ETO)

Real-Time Actions

TCRP Report 86, Vol. 9 / NCHRP Report 525, Vol. 9 (2006) Guidelines for Transportation Emergency Training Exercises

TABLE 1 EMERGENCY EVENTS AFFECTING TRANSPORTATION AGENCIES

Naturally Occurring	Human-	Caused
, ,	Intentional	Unintentional
 ▶ Droughts ▶ Dust/Wind Storms ▶ Earthquakes ▶ Electrical Storms ▶ Floods ▶ High Winds ▶ Hurricanes ▶ Ice Storms ▶ Landslides ▶ Naturally Occurring Epidemics ▶ Snowstorms and Blizzards ▶ Tornadoes ▶ Tropical Storms ▶ Tsunamis ▶ Typhoons ▶ Wildfires 	 ▶ Bomb Threats and Other Threats of Violence ▶ Disruption of Supply Sources ▶ Fire/Arson ▶ Fraud/Embezzlement ▶ Labor Disputes/Strikes ▶ Misuse of Resources ▶ Riot/Civil Disorder ▶ Sabotage: External and Internal Actors ▶ Security Breaches ▶ Terrorist Assaults Using Chemical, Biological, Radiological, or Nuclear Agents ▶ Terrorist Assaults Using Explosives, Firearms, or Conventional Weapons ▶ Theft ▶ Vandalism ▶ War ▶ Workplace Violence 	 Accidental Contamination or Hazardous Materials Spills Accidental Damage to or Destruction of Physical Plant and Assets Accidents That Affect the Transportation System Gas Outages Human Errors HVAC System Failures or Malfunctions Inappropriate Training on Emergency Procedures Power Outages Software/Hardware Failures or Malfunctions Unavailability of Key Personnel Uninterruptible Power Supply (UPS) Failure or Malfunction Voice and Data Telecommunications Failures or Malfunctions Water Outages



NCHRP Report 525, Vol. 9 / TCRP Report 86, Vol. 9 (2006) Guidelines for Transportation Emergency Training Exercises

- Guidelines, resource CD-ROM and templates for developing a Progressive Exercise Program, compliant with DHS and ODP requirements
- Exercise program must address NIMS requirements and Transit Emergency Response Plan and procedures.
- Moves users through the steps necessary to develop and implement a three-year program.
- Practical emphasis on affordable exercises, cost sharing, and grant opportunities.

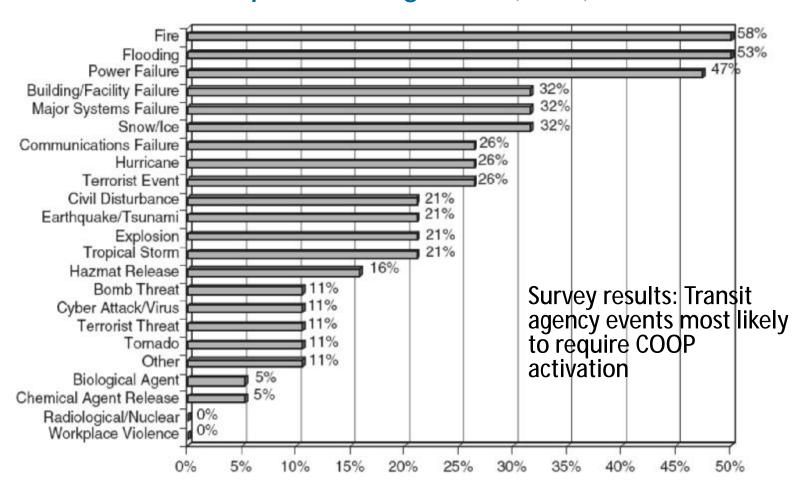
Building Block Approach



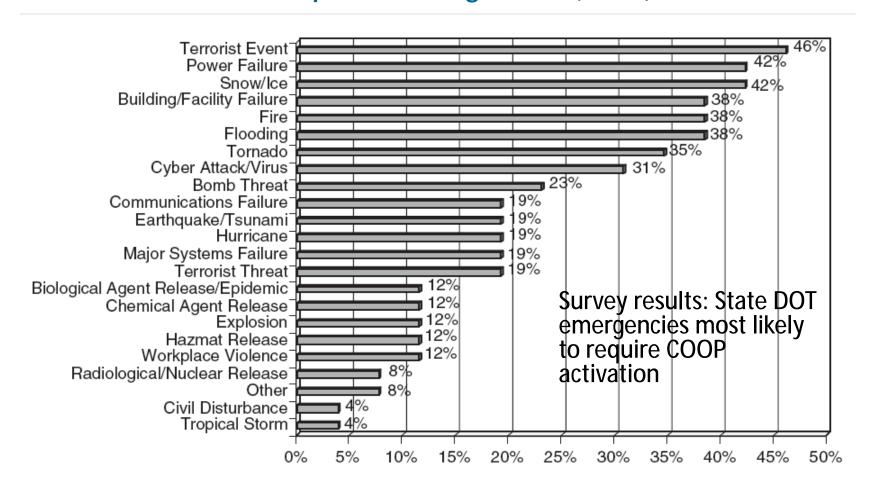




NCHRP Report 525, Vol. 8 / TCRP Report 86, Vol. 8 Continuity of Operations (COOP) Planning Guidelines for Transportation Agencies (2005)



NCHRP Report 525, Vol. 8 / TCRP Report 86, Vol. 8 Continuity of Operations (COOP) Planning Guidelines for Transportation Agencies (2005)



NCHRP Legal Research Digest 49

Emergency Contracting: Flexibilities in Contracting Procedures during an Emergency (2007)

- I. Introduction—When Is
- Emergency Contracting
- Applicable?
- II. The Concept of Flexibility
- for Contracting in Emergency
- Situations and How It
- Can Be Misused
- III. Basic Conditions for
- Waiving Contract
- Requirements in
- Emergency Situations
- IV. Range of Contracting
- Options
- V. Specific Authority to
- Waive Certain Contracting
- Requirements



VI. Federal Statutes Applicable to State **Emergency Procurements** (Title 23) VII. Limitations Imposed by FEMA for Reimbursement to the States in an Emergency VIII. Impact of Limitations Imposed by FEMA for Reimbursement to the States for **Emergency Procurements** IX. General Guidance That Has Been Issued on Federal **Emergency Contracting** X. Summary and Conclusion

8. Stage II

Specialized Guidance

TCRP Report 86, Vol. 5

Security-Related Customer Communications and Training for Public Transportation Providers (2004)

- 1. Being Prepared: Security Training and Communication (video)
- 2. Overview (PowerPoint presentation)

3. Guide to Security-Related Customer Communications and Training for Public Transportation Providers (final report for Volume 5 of TCRP Report 86,

in pdf)

4. Templates of Communication Devices Presented in the Final Report for Volume 5 of TCRP Report 86 (MS-Word)

CD-ROM contains all 4 items

Response

All-hazards approach

- Natural disasters (e.g., hurricanes, tornadoes, floods, storms)
- Human accidents (e.g., hazardous materials spills, fires)
- Terrorism

Communication protocols must be applicable to all emergency events



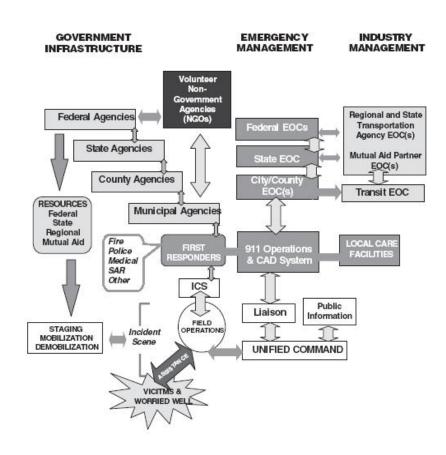
Overview of TCRP Report 86, Volume 5

- 5

TCRP Report 86, Vol. 7

Public Transportation Emergency Mobilization and Emergency Operations Guide (2005)

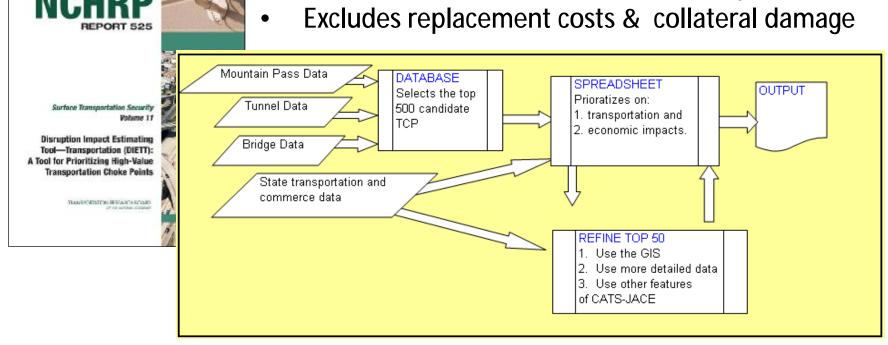
- Overview of NIMS/NRP requirements.
- Updated discussion regarding new threats to transportation agencies:
 - Chronology of worldwide incidents.
 - Capabilities and intentions of specified terrorist groups.
- Guidance for updating Transit Emergency Response Plans.
- Recommendations for establishing a Transit Incident Management Organization.
- Specialized research and recommendations for mobilizing transit personnel resources to address a range of emergencies, including no-notice evacuations and terrorist events.
 - Over all incident management phases: awareness, prevention, preparedness, response and recovery.
 - Checklist for response to events indicating WMD agent release



NCHRP Report 525, Vol. 11

Disruption Impact Estimating Tool—Transportation (DIETT): A Tool for Prioritizing High-Value Transportation Choke Points (2006)

- Highways, rail, and waterway choke points
- Key variable: Impact on commercial shipments
- Prioritize on net national economic impacts



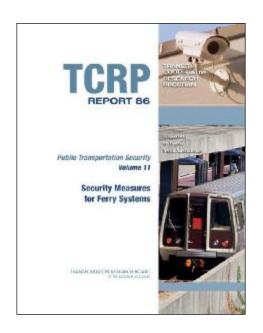




TCRP Report 86, Vol. 11

Security Measures for Ferry Systems (2006)

Table 1. Categorization of GSMs.



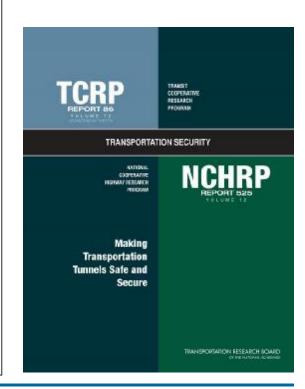
General Security N	deasures) # of GSMs
Fencing/Barriers	
Retractable vehicle barriers/gates	5
Fixed vehicle deterrent with pedestrian access	4
Fixed, both vehicle and pedestrian deterrent	5
Access Control	
Credentials	13
Locks	3
System Control	3
Intruder Sensors	
Perimeter (doors & windows, walls & fences, and buried)	13
Volume sensors – motion detectors	9
Monitoring	
Lighting	3
CCTV/video	7
Procedural/Low Cost	5
Waterside Security	
Surface	4
Underwater	5
Screening	
Passengers and Cargo	7
Trace Detection	14
Human Observation	
All Areas	3
Waterside	2

TCRP Report 86, Vol. 12 / NCHRP Report 525, Vol. 12

Making Transportation Tunnels Safe and Secure (2006)

Table 72. How countermeasures deter, detect, and respond to hazards and threats.

Deterrence	Detection	Response
Operational Tactics Roving patrols Bomb-sniffing dogs Background checks of employees and contractors Background checks of facility vendors Access control Credentialing and identification card system Guards at entry points Intelligence Hazardous material restriction Inspections Technology CCTV Intrusion detectors System integration Engineering Blast design Elimination of hidden corners, alcoves, and shelves Open, unimpeded lines of sight Lighting Locked facility doors	Operational Tactics Intelligence Security awareness training of operating and maintenance personnel Roving patrols Guards at entry points Bombing-sniffing dogs Identification card system Inspections Technology Intrusion detectors Identification card readers Chemical/biological/radiological detectors Seismic/stress detectors Mobile monitoring Explosive detectors System integration Engineering Fire detection	Operational Tactics Command and control (multi-tenant) Evacuation protocol Information sharing Tunnel ventilation Portable fire extinguishers Technology CCTV system Communication Chemical/biological/radiological monitoring Explosive detectors Interface with traffic monitoring System integration Engineering Fire protection Lighting Ventilation



NCHRP Project 20-7 Task 230 Safety & Security in Roadway Tunnels (2008)

SAFETY & SECURITY IN ROADWAYTUNNELS

FINAL REPORT

Requested by: American Association of State Highway and Transportation Officials (AASHTO) Standing Committee on Highways

Prepared by:

Kathleen Almand Fire Protection Research Foundation Quincy, Massachusetts



March 2008

The information contained in this report was prepared as part of NCHRP Project 20-7, Task 230, National Cooperative Highway Research Program, Transportation Research Board.

NCHRP Synthesis 415 Design Fires in Road Tunnels (2011)



COOPERATIVE HIGHWAY RESEARCH PROGRAM

Design Fires in Road Tunnels



A Synthesis of Highway Practice

TRANSPORTATION RESEARCH BOARD

TABLE 38 MAIN DESIGN FIRE VARIABLES

Time Dependent Design Fire Variables	Values Range
Fire Size—Maximum FHRR	(1.5 MW-300 MW)
Fire Growth Rate (slow, medium, fast, ultra fast)	0.002–0.178 kW/s ² as high as 0.331 kW/s ² measured at one test
Fire Decay Rate	0.042-0.06 (min ⁻¹)
Perimeter of Fire	Car—truck perimeter
Maximum Gas Temperature at Ceiling	110°C–1350°C (212°F–2462°F) (higher with FCV)
Fire Duration	10 min–2 days
Smoke and Toxic Species Production Rate	20-300 m ³ /sec
Radiation	From 0.25 to 0.4 of total heat flux up to 5,125 W/m ² (1,625 Btu/hr/ft ²)
Flame Length	

	Design fire variables are a function of:
	Type of vehicle (cars, buses, HGVs, tankers; alternative fuel)
	Type of cargo including bulk transport of fuel
	Fire detection system and delay in activation of FLS systems
	Ventilation profile
	and the same of th
	Fire suppression system
	Fire suppression system Tunnel geometry
	Fire suppression system
	Tunnel geometry - tunnel width, height, cross
	Tunnel geometry - tunnel width, height, cross section, length
	Tunnel geometry - tunnel width, height, cross section, length - volume (available oxygen)

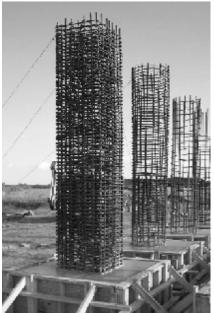
The National Academies of SCIENCES • ENGINEERING • MEDICINE



NCHRP Report 645

Blast-Resistant Highway Bridges: Design and Detailing Guidelines (2010)





NCHRP 12-72 Final Report

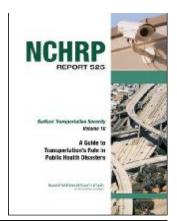
]	Function/I	Effectivene	ess	C	Costs per year		
Countermeasure	Deterrence	Detect	Defend	Reduce Impact	Capital	Operating	Maintenance	
Countermeasure 1	M	L	L		\$	\$	\$	
Countermeasure 2	М	Н			\$	\$	\$	
Countermeasure 3			0.00	Н	\$	\$	\$	
Countermeasure 4	L		Н		\$	\$	\$	
L = Low Effectiveness M = Medium Effectiveness H = High Effectiveness	Vulneral	oility Asse		C "A Guide r Critical A		ray		

Figure 17. Countermeasure summary sheet (Winget and Williamson, 2003)



NCHRP Report 525, Vol. 10 A Guide to Transportation's Role in Public Health Disasters (2006)

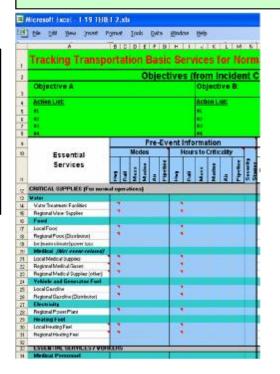
- Transportation response options to an extreme event with chemical, biological, or radiological agents
- Focuses on the effect and role of transportation
- Applicable to all civilian sites (not just transportation sites)



TERET (Tracking Emergency Response Effects on Transportation) – Spreadsheet Layout

Sheet 1: Introduction Provides summary instructions

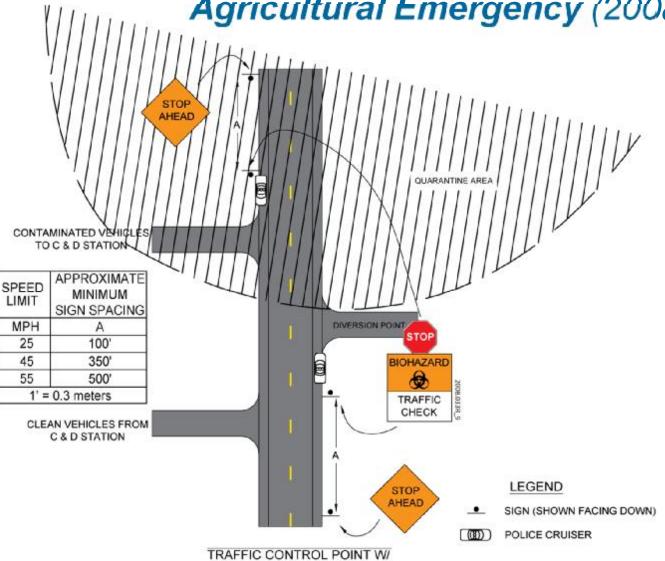
Sheet 2: Basic Services Assess criticalities that may develop from ER changes in traffic patterns. Sheet 3: Mass Care Assess needs during shelter-inplace, temporary shelters, or quarantine shelter.



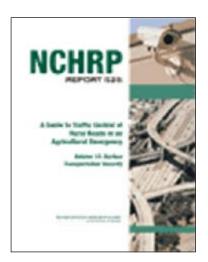
	Α	8	- C	0	E	F	- 6
	Maria Cara Tarana a dallar						
	Mass Care Transportation	Needs	: Deco	m/rnage, s	nemer-in	-prace, i	remp
				Mass Care	Objectiv	ac Ifran	a Ima
2				The second section is a second section of the second section in the second section is a second section of the section of the second section of the section o	Objectiv	es (mon	n ine
2	Decontamination Facilities:	econtamination Facilities: Shelter					Temp
-							No.
5	Number of hours since mass care ac	Ho	urs of N	eed for	Mass		
200			ure until Physical Ch				
9		Hours until Need			Radio- logical	Che	mical
7	Mass Care Needs			Destruction			Marian .
9		Initial	Current	explosion, etc)	and the same	Persistent	Persis
-	Decon, Triage, Pre-hospital Treatment			eaphosium, etc.)		Persistent	PRINTER
	During evacuation until all evacuees are	Total b	ours ->				
	treated		ntermination)		-		
0	Mass Public Transport	(In the control	resident also				
8	To decontamination, triage, pre-treament	0	D	D	0	0	0
2	From triagel pre-treatment to hospitals	0	D	0	0	0	0
C	From decontamination to shelters	0	D	0	0	0	0
4							
5	Standard Decontamination Supplies						
6	Soap, water	- 13	- 1	0	0		0
P	Portable shorers, tests Clothes	1	1	0	0	0	0
9	Hippochlorite / bleach / chlorine	1	1	0	0	0	8
200	Alkaine solution (carbonate or bicarbonate)	1	1	0	0	0	
1		- 50	100			175	187
22	Reduced Power or Water Conditions	900	12510			222	100
8	Water (bottled)	0	0	0	0	0	0
24	Portable Todetz	9	3	0	0	0	0
20	Batteles	8 24	24	D 0	0	0	0
27	loe (warm olimate) Fueld Heat (cold olimate)	2	2	0	0		0
18	Laure Little facility and seconds.			,			
-	Charles In Prince			- 19			
	Sheller In-Place delivery until	Total hours>					
14	evacuation or cafe levels	Ottor wheel	er-in-place)				
		tion pries	ALC: N				-
	<u> </u>			_			
	Temporary Shelter Shelter deliveries				_		•
	until other housing or safe levels	Total h	iours>	0	0	0	0
17			(for shelter)				
+1			(01.0.01)				
		-		•	-		-
	Quarantine Shelter						
	Until not contagious	Total h	\longrightarrow				
	anni not asinagidas						
35		(for	quarantine)				

NCHRP Report 525, Vol. 13

A Guide to Traffic Control of Rural Roads in an Agricultural Emergency (2008)



CLEANING & DISINFECTION SITE



TCRP Legal Research Digest 22

The Case for Searches on Public Transportation (2005)

- 1. Traditional Fourth Amendment Considerations
- 2. Specific Warrantless Search Categories
- 3. State Constitutional Issues
- 4. Structuring Search Policies

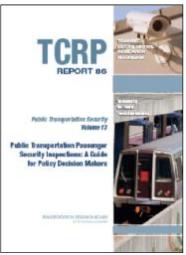


Photos source: Ernest R. Frazier Sr., Esq, Countermeasures Assessment & Security Experts, LLC GAO Panel on Explosives Detection Technologies That Could Help Protect Passenger Rail, 11-12 August 2009



TCRP Report 86, Vol. 13

Public Transportation Passenger Security Inspections: A Guide for Policy Decision Makers (2007)



Includes measures for:

- 1. Mitigation of intrusion
- 2. Mitigation of privacy concerns
- 3. Mitigation of claims with respect to unreasonable detention, etc.
- 4. Mitigation of health risks

able 7. Mitigation measures.

	Mitigation of intrusion	Mitigation of privacy	Mitigation of claims with	Mitigation of health
		concerns	respect to unreasonable detention, etc.	risks
Behavioral assessments	Use, to extent femilie, of objective indicators, reasonable limitations on officer's discretion, extreme contient to using metal/ellinic characteristics.	Same as for intrusion.	Same as for intrasion.	N/A
Radiation detection pagers	Not a primary risk.	Net a primary risk.	Require positive results be treated as purse for suspicion, not oridence of gulls, and process accordingly in conducting secondary screening.	Not a primary risk.
Trace detector integrated into ticket machine	Provide notice that ticket machine contains a scanner to allow passengers option of avoiding even minimally intrusive impaction.	Net a primary risk	Require positive results be treated as cause for surptions, not evidence of gulb, and present accordingly in conducting secondary screening.	Screptionsly maintain radiation components.
Non-integrated (desktop) scanner	Minimally intrusive for Fourth Assemblessi purposes.	Neta primary risk	Require positive smalls be treated as cause for suspicion, not stricked of gull, and process accordingly in conducting scondary screening.	Screptionally resistain radiation components.
Explosives detection canine	Not a primary risk.	Net a primary risk	Require positive sends be treated as cause for surption, not cridence of gult, and process accordingly in conducting secondary screening.	N/A
Visual/physical bag search	Protocols and inspection policies and procedures must be documented and followed. Impections are based on compelling government need.	Directing officers not to read any material in passenger bags will minimize privacy claims as well as intrasiveness.	Not a primary risk.	N/A
Handbeld trace detector	No additional measures.	Net a primary risk.	Require positive results be treated as cause for suspicion, not cridence of gullt, and precess accordingly in conducting secondary screening.	Screptionsly maintain radiation components.
Handheld magnetometers	Use as secondary PSI method should mitigate intrusiveness of physical approach to passenger, as there would be some grounds for suspicion.	Net a primary risk.	Not a primary risk.	Not a primary risk.
Backscatter X-ray	Conceal sensitive body areas or reduce image details. Also ensure that images are not displayed to anyone but the impectors. Destroying images once they are seviewed for security purposes should also mitigate nide.	Control sensitive body areas or reduce image details. Also ensure that images are not displayed to anyone but the impectors. Destroying images eace they are reviewed for security puspeess should also mittigate risk.	Require positive results be treated as cause for suspicion, not crickness of gallt, and process accordingly in conducting secondary acrossing.	Screpulously maintain radiation components.
Millimeter wave imaging scanner	Not a primary risk.	Net a primary risk.	Require positive results be treated as cause for suspicion, not evidence of gullt, and process accordingly in conducting secondary screening.	Screpulously maintain radiation components.
Puffer portal	Not a primary risk.	Net a primary risk.	Require positive sesults be treated as cause for suspicion, not evidence of gulls, and process accordingly in conducting secondary screening.	Screpulously maintain radiation components.
Baggage X-ray	Not a primary risk.	Not a primary risk	Not a primary risk.	Scrapulously maintain radiation components.
Z backscatter van	Avoid scanning vans with passengers.	Arold scaming van with passengers.	Require positive results be treated an auree for suspicion, not cridence of gull, and precess accordingly in conducting secondary screening.	Screpaiously maintain radiation components; avoid scanning varies with passengers.

ACRP Report 5

Quarantine Facilities for Arriving Air Travelers: Identification of Planning Needs and Costs (2008)

Table 1. Total stand-by costs.

1. Cost of Space in a Separate Facility if Used for Quarantine

Needed: 20 square feet per person x 200 people = 4,000 square feet

Value of the space:

7 additional rooms for: recreation/leisure (3), office area, food assembly and serving, medical, and storage. Each room 500 square feet x 7 = 3,500 square feet. Total space: 7,500 square feet			\$15,000 er month			Quarantine Facilities for Arriving Air Travelers: Identification of Planning Needs		
Approximately \$2.00 per square foot/month x \$7,500 = \$15,00	APPENDIX A. CDC DISEASE QUARAN						and Costs	_
AND DE AND DE	Discuss / Walfertraces	Symptom in Early Stage (professor stage)	Symptoms for Full Hierar Obsessed Substitute alone)	Incubation Period inversage and range for 95% of cases:	Morkenium of Conteglisation			
2. Privacy Partitions and Space Dividers Partitions needed for sleeping areas—approximately 320 partition individually divided spaces and 50 other divided spaces occupied small families). 7 other divided spaces for recreation/leisure (3), assembly and serving, medical, and storage—approximately 22 (2 space depending if it is on location next to walls or at end of aisle 342 dividers x \$200 each = \$68,400*		Mataias, were throug toos of appetite, moderate frost, and backing cough.	Adheren, yay meritsuse forms over the mocros membrane of the social staffer pluryes.	2.5 days (range 1.36 days)	Blast person-represe translations regimery and physical cented. Cu important in businismics.			
		Protongal societis fesor, chestic cough, storesis, falgor, and weight four.	Conglishing bloard from the Burger, Chattale Obstitution Pulmontary Disease, absorption controlling and citizing log of the emphasisery promagan vanishelly messas blooksage, find for the larger	Revoluge Installation pointed 21 washin 95% of assets with develop within 15.28 washin.	Adheres mate. Extended pot of el-	ne protect.	DMSSADDS 4 SEPACHECUSE STRUCTUS AND OTHER STRUCTUS AND DATE OF THE	Quantitiered Til Tast.
3. Storage Lockers—6 tiered metal lockers (size 1 cu ft.) with 3 for each r	Chokes 13-34	-GDR of Cholana patients will there any symptoms below full cross of discuss.	Coprince, pulmbres, watery illastina Ventiling after resource in messe patients.	Story incubation period, from less than one day to five days.	Ingesting contaminated water or fixed, promotestory in care.	perior do perior	Baigne. Diagnosis is confirmed by learnife atten- of the organism in a shed specimen.	Noncestablished by CDC
lockers) x 12 @ \$325 each = \$3,900	Smallpon 13-21	High feron, back pain, headaches, yeomiling, malains, and presention.	Mwatepapular mak that programs to papulos, that varieties, and there publishs and scalt lesions.		Reread by inhabition of air strepture or (within 6-7 fact) and fairly prolonged (broad) fact to face contact is manifed those one pursue to absthut.	Approximately 3	Chausterinio radi and symptome (ferts, abdominal puls, etc.). Electron microscopic GM visualization, RT- PCR, Conferences.	Close contact of case, virgo found in three- desiry involvation.
4. Cleaning supplies Commercial mopping combo @ \$26.00 x 5 = \$130* Mops @ \$11 each x 5 = \$55* Trash cans: 1 44-gallon cans per 20 people plus 1 for each of 7 spaces and 3 extra = 20 cans x \$45 per can = \$900* Cleaning liquids, approximately 25 gallons x \$7.00 per gallon =	Ik-merhagia Fesa Vennus 23-24	Fever, aching muscles, divisione, noclepsis, still mus, buckecks, buckecks, now eges on an emissivity or light. Names, wrating, nor shoul, district, and general and althorismal pain. If see enlargement.	Bust huset raise, orlanged lymph nodes, and a rade caused by blooding size in testin. Blooding the smooth and filment, the types haved, and the grown. Repairst, Lives and kidney and pulmonary failum.	Dishte 2-21 days. Longth of incubation may disposed on the mode of angulation Extrema. Congo BFV, tick bits. I as 7 days. with a maximum of 8 days. infected blond or foreign in modify 5 to 6 days, with a disconnected maximum of 13 days.	Elizaci custact with blood or wither labor Eventuck or tick fine. Human to flume		After 6 days of illnam, antibodies can be diseased (IgG or IgM). Policy to that, every more to be about from blood or blood specimens and govern to lose cytics. What IMA may also be detected in the blood.	Wrose can be isolated from blood or linear speciment in the first flow days of fillness, and grawn in our distince. Likely in first were in threat swates, usual results, blood, lymph, and apprisentlyings.
Trash can liners @ \$1.50 per liner x 20 cans x 14 days = \$420	Pages 25.31	Fever, atribis, regularite, molaine, saching muscaline, neutras, and provincione. Euromic plaques: paintini, post-filor fample molar. Prezistannis	Eulesic: draining the six of the Sex trie. Presenting plague: Minorly spatian.	Bahonia 2.6 days. Phoartonia 2.4 days with range of 1.6 days.	Fine tries. Direct contact with inductive robust measureds or inhabition of infantive streptess. Importion.		The revolun plant called a "Nohi." FI Ag interanceapture ELEA. Collant bysed by specific harter-ophage.	Prime established by CTA. Marteria likely for By threat small of its lymph.

ACRP Report 12

An Airport Guide for Emergency Planning for CBRNE Events (2009)

ACRP
65PORT 12

An Airport faids
for trained disappeary
Planting for CERNE Counts

Special Considerations for CBRNE (Chemical, Biological, Radiological, Nuclear, or Explosives)

- Command and control
- Responder communications
- Emergency public information
- Firefighting and special operations
- Law enforcement and special operations

- Emergency Medical Services (EMS)
- Quarantine
- Fatality management
- Logistics
- Continuity of Operations
- Family and customer assistance

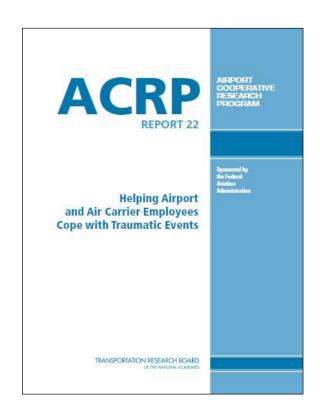


ACRP Report 22

Helping Airport and Air Carrier Employees Cope with Traumatic Events (2009)

Five Essential Intervention Principles





Source: Hobfoll, et. al. (2007).



9. Stage II

All Hazards, All Modes

Six Goals

Five Pillars

Transportation Sector Rationale for An All Hazards Approach to Natural Hazards and Security

Safety first: build on the successful experience of the systems approach, and extend the mission of existing safety personnel

Build on DOT expertise in response: urban areas work with law enforcement, fire, rescue, and towing and recovery on traffic incident management; statewide presence with emergency contracting, equipment (e.g., communications systems), personnel, and common response to weather emergencies; trained to observe and report

Build on transit expertise in security: in urban areas parallel size and location of high-value infrastructure; invested; bring expertise on policing and security; trained to observe and report

Make interdependence an asset: transportation depends on, and is depended on, by other critical infrastructures; roads and transit are publicly owned and managed, and house public involvement experts

Six Goals for Transportation Security

- 1. Social: Involve the public—make pre-operational surveillance riskier
- 2. Budget & Policy: Make risk-informed decisions the norm
- 3. Technical: focus on countermeasures & design (instead of vulnerabilities & threats) with dual benefits
- 4. Operational: quick, layered response with effective surge capability
- 5. Psychological:
 - a. for the public, peace of mind/acceptance of risk: security ≈ satisfaction
 - b. for the attack planner, transportation is a difficult target, prepare more or attack something easier
- 6. Intelligence: Support police/military/intelligence by having trained transportation employees report suspicious activities and by making the bad guys stretch out their planning time

Six Goals for Transportation Security Desired Outcome

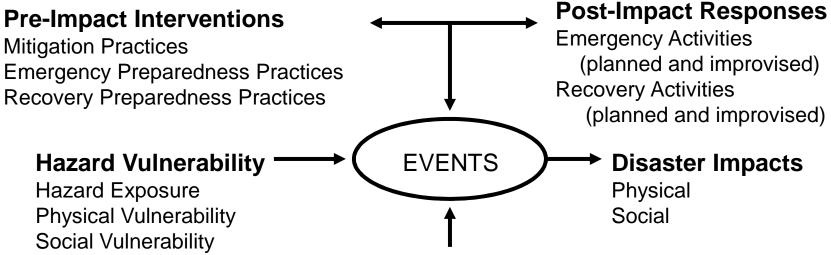
Mainstreaming an integrated, high level, all-hazard, National Incident Management System (NIMS)-responsive, multimodal risk management process into major transportation agency programs and activities

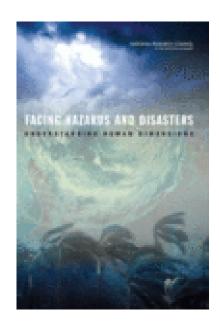
Six Goals for Transportation Security Desired Outcome Five Pillars

- 1. A systems approach to emergency management functions focusing on a holistic approach to risk reduction: A Guide to Planning Resources on Transportation and Hazards
- 2. Understanding security fundamentals: Security 101: A Physical Security Primer for Transportation Agencies (Security 101)
- Organizing to be a reliable partner in emergency management:
 Guide for Emergency Transportation Operations (ETO)
- 4. Risk-informed decision support to buy down risk: Costing Asset Protection: An All Hazards Guide for Transportation Agencies (CAPTA)
- 5. Integrated emergency response planning: A Guide to Emergency Response Planning at State Transportation Agencies (2010 Guide)



The Hazards and Disaster Management System





Disaster Event Characteristics

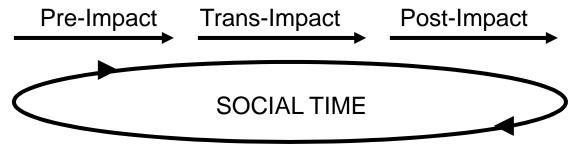
Frequency Magnitude of Impact

Predictability Scope of Impact (spatial and social)

Controllability Duration of Impact

Length of Forewarning

CHRONOLOGICAL TIME

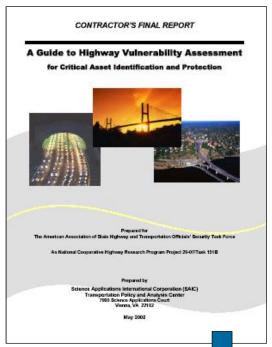


Source: Facing Hazards and Disasters (NAS, 2006), adapted from Kreps (1985), Cutter (1996), Lindell and Prater (2003)

10. Stage III

Risk-Informed Decision Support

Continuous Development of Risk Management and Emergency Response Planning Guidance



Published 2009:

NCHRP Report 525, Vol. 14

Security 101: A Physical Security

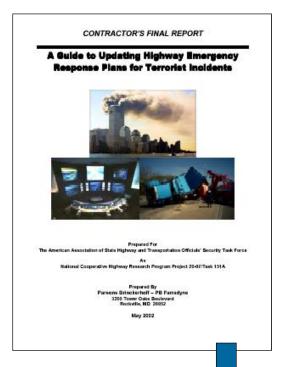
Primer for Transportation Agencies

2002: Guides to Vulnerability Assessment & Emergency Response Planning

2002-2003: workshops

2004-2005: publications that anticipated NIMS, NRP/NRF, and NIPP.

2012: publications adopted by AASHTO



Published 2010:

NCHRP Report 525, Vol. 16

A Guide to Emergency Response Planning at State Transportation Agencies

NCHRP Report 525, Vol. 15

Costing Asset Protection: An All Hazards Guide for Transportation Agencies (CAPTA, 2009)

Application Context

- Top-down, program level to support resource allocation
- Consequence-driven based on user-selected thresholds ("possibilistic")
- Iterative use to compare/refine assumptions

Model Attributes

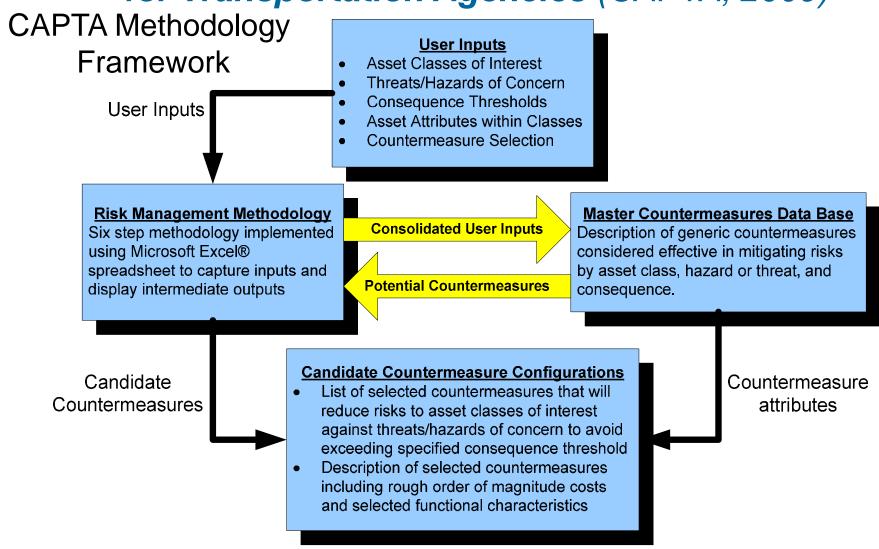
- Objective when possible use data rather than "best judgment"
- Transparent avoid "weighting and rating"
- Consistent uses simple, available data and criteria, standard data base, default values
- Replicable identify basis of all judgments

User Features

- Convenient uses available resources (people and software) and imbedded data model
- Scalable support a range of user contexts, mode, hazards,
- Expandable to accommodate new threats/hazards, asset types, and countermeasures

NCHRP Report 525, Vol. 15

Costing Asset Protection: An All Hazards Guide for Transportation Agencies (CAPTA, 2009)



Feedback & Iteration

NCHRP Report 525, Vol. 15

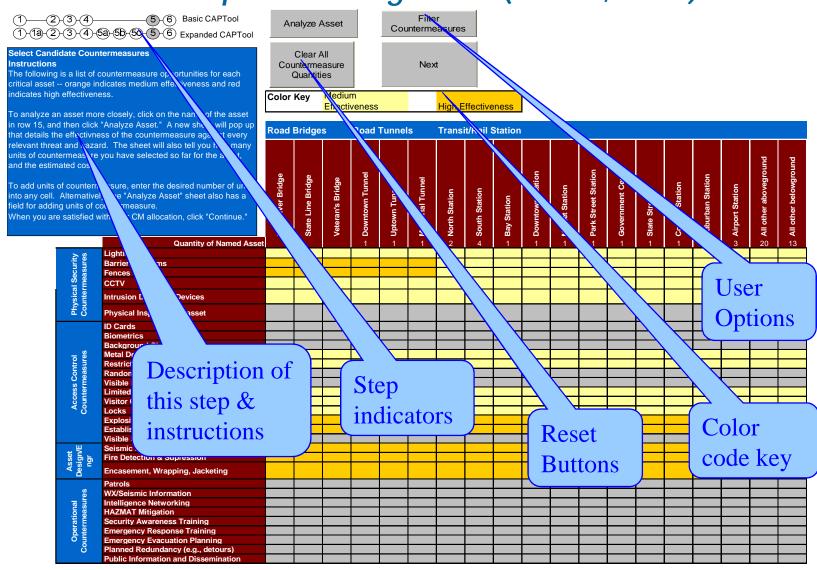
Costing Asset Protection: An All Hazards Guide for Transportation Agencies (CAPTA, 2009)



Basic CAPTA	Steps in Methodology	Expanded CAPTA			
1	Identify Relevant Risks and Asset Classes	1			
	Verify High Consequence Threats and Hazards	1a			
2	Establish Consequence Thresholds	2			
3	Describe Infrastructure Assets	3			
4	Identify Critical Assets Across Modes	4			
	Review Countermeasure Unit Costs	5a			
	Identify and Describe Additional Countermeasures	5b			
	Set Countermeasure Filters based on User Preference	5c			
5	Select Candidate Countermeasures	5			
6	Summary Report	6			

NCHRP Report 525, Vol. 15

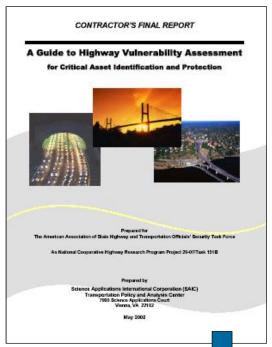
Costing Asset Protection: An All Hazards Guide for Transportation Agencies (CAPTA, 2009)



11. Stage III

Comprehensive Emergency Response Planning

Continuous Development of Risk Management and Emergency Response Planning Guidance



Published 2009:

NCHRP Report 525, Vol. 14

Security 101: A Physical Security

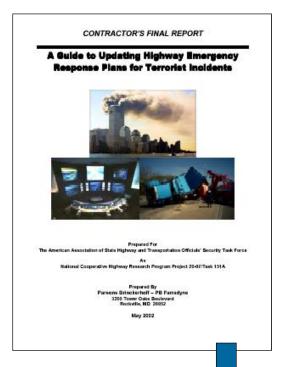
Primer for Transportation Agencies

2002: Guides to Vulnerability Assessment & Emergency Response Planning

2002-2003: workshops

2004-2005: publications that anticipated NIMS, NRP/NRF, and NIPP.

2012: publications adopted by AASHTO



Published 2010:

NCHRP Report 525, Vol. 16

A Guide to Emergency Response Planning at State Transportation Agencies

NCHRP Report 525, Volume 16

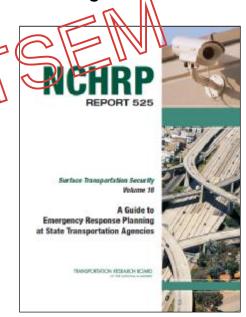
A Guide to Emergency Response Planning at State Transportation Agencies (2010)

Guide

- Summary
- Overview for state transportation agencies (authorities, etc.)
- High-level requirements based on national policies and guidelines
- High-level self-assessment with pointers

Section 6: Resource Guide

- Organizational/staffing/position guidance
- Decision-making sequences
- Detailed self-assessment and resource lists

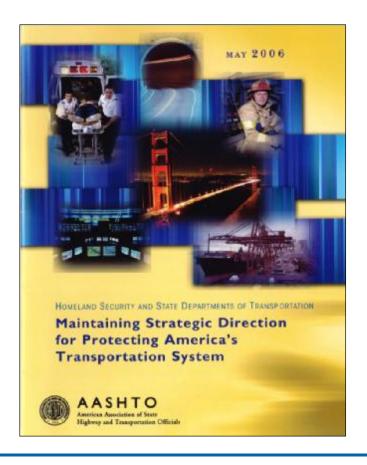


NCHRP Report 525, Volume 16

A Guide to Emergency Response Planning at State Transportation Agencies (2010)

- Appendices (A-M)
 - Applicable parts of 2002 Report (A)
 - Details of material summarized in Sections 1-5 (B-G)
 - Links to model emergency operations plans (H)
 - Links to model policy/procedural memoranda/MOUs (I)
 - Links to model exercises/training plans (J)
 - Annotated bibliography (K)*
 - White Paper: Identification and Delineation of Incident
 Management and Large-Scale Emergency Response Functions
 (L)*
 - PowerPoint presentation (M)*
- *available at <u>www.TRB.org/SecurityPubs</u>

12. Stage III A Focus on Fundamentals



Homeland Security and State Departments of Transportation: Maintaining Strategic Direction for Protecting America's Transportation System

- State DOTs—Guardians of Transportation Infrastructure and Mobility
- 2. Protection of Critical Transportation Assets
- 3. Emergency Management Support to First Responders
- 4. Critical Gaps and Needs



State DOTs - Guardians of Nation's Transportation Network

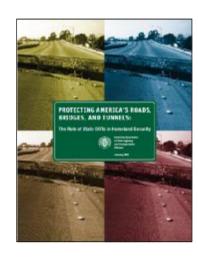
- •DOTs own & operate 1.8 million lane miles & 273,200 bridges
- •5 billion daily vehicle miles (DVMT) traveled on DOTs' roads and bridges, or 65% of total DVMT
- •\$92 billion/yr needed just to preserve system without extra security



Source: Protecting America's Roads, Bridges, & Tunnels: The Role of State DOTs in Homeland Security, AASHTO, 2006.

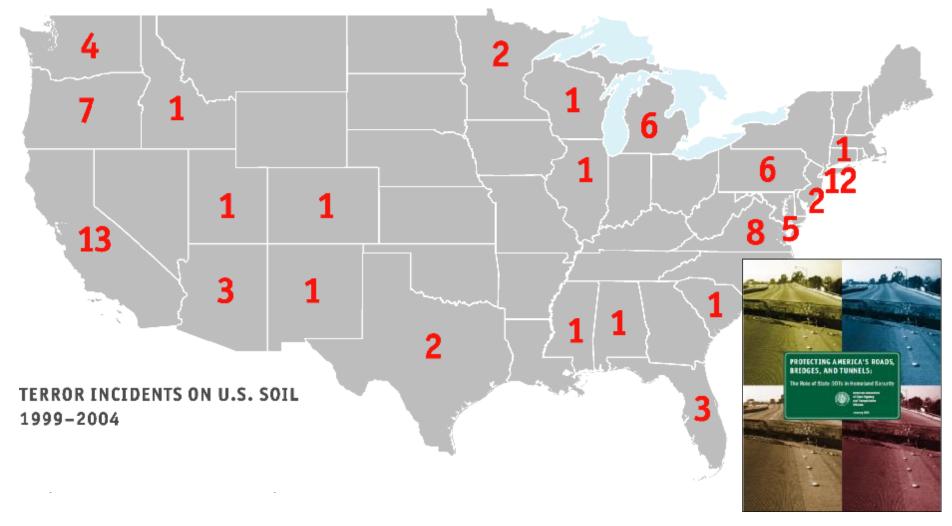
State DOTs' Major Responsibilities

- Highways
- Transit
- Freight and passenger rail
- Ports and ferries
- General and commercial aviation facilities
- Bike/pedestrian
- Motor carrier/motor vehicle services
- State patrol



Source: Protecting America's Roads, Bridges, & Tunnels: The Role of State DOTs in Homeland Security, AASHTO, 2006.

U.S. Terror Incidents 1999-2004



Source: Memorial Institute for the Prevention of Terrorism, Terrorism Knowledge Database. Cited in Protecting America's Roads, Bridges, & Tunnels: The Role of State DOTs in Homeland Security, AASHTO, 2006.

All Hazards Planning Fundamentals

- Prevention: Capabilities necessary to avoid, prevent, or stop a threatened or actual act of terrorism.
- Protection: Capabilities necessary to secure against acts of terrorism and manmade or natural disasters.
- Mitigation: Capabilities necessary to reduce loss of life and property by lessening the impact of disasters.
- Response: Capabilities necessary to save lives, protect property and the environment, and meet basic human needs after an incident has occurred.
- Recovery: Capabilities necessary to assist communities affected by an incident to recover effectively.

Source: AASHTO. Fundamentals of Effective All Hazards Security and Resilience for State DOTs, 2015.

Transportation Agency Resilience: Fundamental Capabilities

Prevention	revention Protection		Response	Recovery				
Planning								
	Public Information and Warning							
	Operational Coordination							
Intelligence & Information Sharing Screening, Search, & Detection	Access Control Physical Protective Measures Risk Management Supply Chain Integrity	Identification	Operational Communications Situational Assessment	In rastructure Systems				
Cybersecurity								
Training and Exercises								

Source: AASHTO. Fundamentals of Effective All Hazards Security and Resilience for State DOTs, 2015.

NCHRP Research Results Digest 333 / TCRP Research Results Digest 90

Natural Hazards Informer Number 4

A Guide to Planning Resources on Transportation and Hazards (2009)

Chapter 1: Introduction to the Disaster Cycle

Chapter 2: Overview

Chapter 3: The Economy and Hazards

Chapter 4: People and Hazards

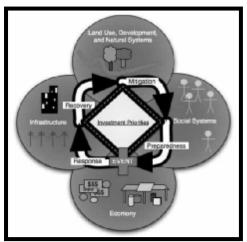
Chapter 5: Infrastructure: Lifelines During Disasters

Chapter 6: Land Use, Development,

and Natural Systems

Chapter 7: From Theory to Practice: Case Studies

Chapter 8: Conclusion







Number 4

eptember 2009

A Guide to Planning Resources on Transportation and Hazards

The Natural Hazards Informer is a series that summarizes current knowledge about various aspects of natural hazards for practitioners, researchers, public policy makers, and others.

What this Informer does

Our nation's transportation infrastructure (freeways, highways, treets bridge, public transit lines, ways, highways, treets bridge, public transit lines, take paths, rail lines, airports, ports, etc.) is arguably the most important piece of this fravenueue for the sale and efficient functioning of our nation. We rely on it to get to and from work, to thip our goods to market, and to access any number of important amenities. This issue of the hyforwer introduces a voide range of hazards literature and see such that applies to transportation-related emergency management work. It provides an overview of a systems approach to integrated emergency management functions rupported by current seezands, focusing on the importance of a holistic approach to risk reduction. The hyformer describes how failures in the transportation cytems result-

ing from either human-caused or natural disasters can affect all of the other systems that are dependent upon it. Case studies that connect research to practice provide real-world examples of holistic approaches to disaster management in the transportation field.

Who should read it and why

We prepared this items for transportation officials with emergency response, preparedness, mitigation, and security duties. The case studies are transportation related. That said, anyone with interest in current thausaid sesseant will learn something by reading this laylower. We explore themes of systems theory, community realizance, connectivity, and security in the content of transportation planning.

Acknowledgements

Andre LeDuc, Lorelei Juntunen, and Emma Stocker wrote and researched this Informer with funding from the Transit Cooperative Research Program and the National Cooperative History Research Program

Andre LeDuc, an ECONorthwest Associate, is founder and executive director of the Oregon Partnership for Disaster Resilience and director of Emergency Management at the University of Lorelel Juntunen is a project manager at the Oregon-based consulting firm ECONorthwest. She works at the intersection of policy, land use and transportati planning, and disaster loss

Emma Stocker is a research associate at ECONorthwest. She spent a year researching and evaluating the mechanisms of recovery in the greater New Orleans area in the aftermath of Hurricane Katrina. Rob Wyman, ECONorthwest research analyst, also contributed. He specializes in applying geospatial analysis techniques to land use, development, and other public policy issues.

Special thanks to the Interviewes: whose unlaque perspectives shaped the case studies: Vincent Ambresia, Sue Cannon, Thomas Cova, Mike Piatcher, Richard M. Gaudiosi, Mike Gavin, Marsha Hillmes-Robinson, Chris Lockra, and Sarah McCaffrey.

NCHRP Report 525, Vol. 14

Security 101: A Physical Security Primer for Transportation Agencies (2009)

Chapter 1: Risk Management and Risk Assessment

Chapter 2: Plans and Strategies

Chapter 3: Physical Security Measures

Chapter 4: Security Personnel and Training

Chapter 5: Infrastructure Protection

Chapter 6: Homeland Security

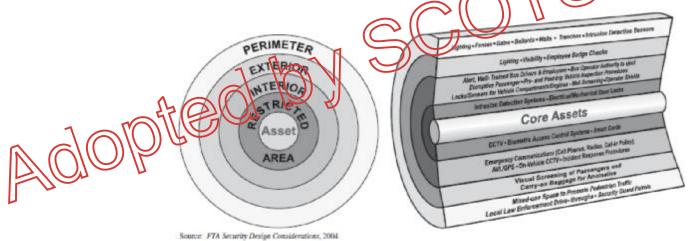


Figure 3-2. Layers of security.

NCHRP Synthesis 392

Transportation's Role in Emergency Evacuation and Reentry (2009)

Chapter 1: Introduction

Chapter 2: Background

Chapter 3: Evacuation Planning and Phasing

Chapter 4: Direction and Control on Highways

Chapter 5: Evacuee Travel Characteristics and

Assisted Evacuation

Chapter 6: Communication, Data Exchange,

and Public Information

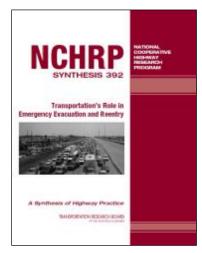
Chapter 7: Reentry

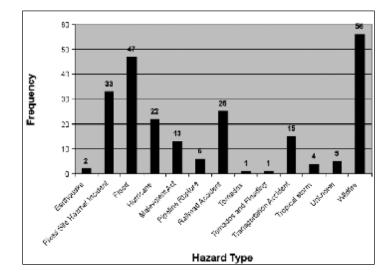
Chapter 8: Current State of Practice

Chapter 9: Conclusions and Future Needs

Figure 1: Evacuation frequency based on hazard type (1990-2003)

(Source: F. Walton, Sandia National Laboratory)





TCRP Synthesis 80

Transit Security Update (2009)

Chapter 1: Introduction

Chapter 2: Passenger Perception of Crime and Terrorism

Chapter 3: Security Measures

Chapter 4: Security Practices

Chapter 5: Conflict Mitigation Strategies

Chapter 6: Case Studies

Chapter 7: Conclusions



Figure 10 from Chapter 5: Physical Aggression Continuum (Source: Crisis Prevention Institute' s 2007 Webinar on Workplace Violence Prevention)

Transit Security Update



TCRP Synthesis 90 Video Surveillance Uses by Rail Transit Agencies (2011)



FIGURE 4 The New York City Police Department posts signs on local streets indicating the presence of security cameras. This sign was across the street from a Manhattan subway station. Photo courtesy of Dorothy M. Schulz.



Video Surveillance Uses by Rail Transit Agencies



A Synthesis of Transit Practice

TRANSPORTATION RESEARCH BOARD

TRANSIT COOPERATIVE RESEARCH PROGRAM

Sponsored by the Federal Transit Administration

The National Academies of SCIENCES • ENGINEERING • MEDICINE



13. Stage IV In Progress / What's Next

Simulation, Communication, Evacuation, Risk & Recovery: Focus on Implementation

ACRP Project 4-04

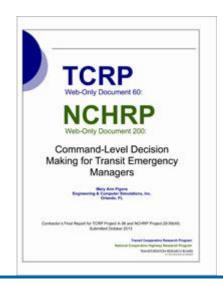
Exercising Command-Level Decision Making For Critical Incidents at Airports (2011)

- Computer-based simulation
 - A means to exercise critical decision-making at the command level
 - Accessible through a web browser
- Broad collection of scenarios
- Standards compliant
 - National Incident Management System (NIMS)
 - 14 CFR Part 139.325, Airport Emergency Plan
- Based on the National Guard Bureau's Emergency Management Staff Trainer (EMST), a proven architecture



TCRP Web-Only Document 60 / NCHRP Web-Only Document 200 Command-Level Decision Making For Transit Emergency Managers (2014)

Objective: develop a scenario-based training system compliant with federal standards (e.g., the National Incident Management System and the Homeland Security Exercise Evaluation Program) and relevant transit industry standards and regulations. It is anticipated that the training system will be delivered through an automated, functional exercise simulation system capable of providing on-demand emergency response training and exercises.









TCRP Project A-36/ NCHRP Project 20-59(49)

Command-Level Decision Making For Transportation (2017)



TRANSPORTATION EMERGENCY RESPONSE APPLICATION

NCHRP Research Results Digest 385 The Legal Definitions of "First Responder" (2013)

Objectives: (1) identify the legislation, regulations, and executive orders in which the term "first responder" is defined; (2) briefly summarize the legislation / regulation / order to understand their scope and purpose; (3) provide the definition existing in the source documents; and (4) highlight any commonalities or inconsistencies between the definitions.

NCHRP Web-Only Document 221/ TCRP Web-Only Document 67 Effective Practices for the Protection of Transportation Infrastructure from Cyber Incidents (December 2015)

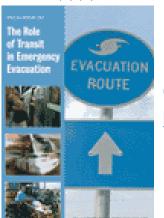
Objective: develop (1) a primer and (2) a briefing for transportation system owners and operators explaining the nature of cyber events and their operational and safety impacts. These products contain a list of effective practices that can be used to protect transportation systems from cyber events and to mitigate damage should an attack or breach occur.

Study Charge:

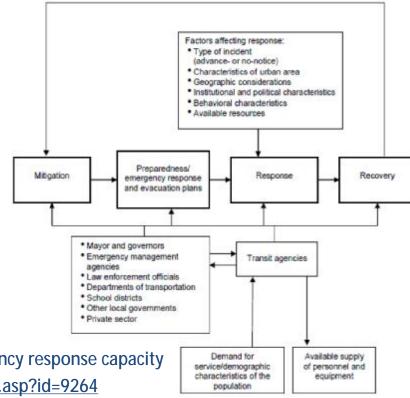
Evaluate the potential role of transit systems serving the 38 largest urbanized areas (UAs) (> 1 million in population) to "accommodate the evacuation, egress, and ingress of people to or from critical locations in times of emergency."

Source: SAFETEA-LU, Section

3046(a)(1)



TRB Special Report 294 The Role of Transit in Emergency Evacuation (2008)



Graphic: Factors affecting local emergency response capacity http://www.trb.org/news/blurb_detail.asp?id=9264

NCHRP Report 740 (2013)

A Transportation Guide for All-Hazards Emergency Evacuation

Objective to develop an all-hazards emergency evacuation guide for transportation and emergency management agencies that integrates the broad community of resources that are necessary to plan, train, exercise, and execute evacuations.

Building Bridges / Matching Resources Workshops Emergency Exercises Community Events (Task 2 and 3) (Task 5 and 6) (Task 4)

Tasks

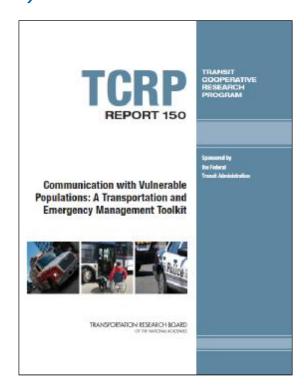
- 1. Literature Review
- 2. Roles of Modes and Other Entities 7. in Evacuation
- 3. Mode Integration
- 4. Matching Resources to Needs

- 5. "Workshop in a Box"
- 6. Case Studies
- 7. Operations Plan Templates
- 8. Report & Draft Outline
- 9. Draft & Final Guide
- 10. Final Report

TCRP Report 150

Communication with Vulnerable Populations: A Transportation and Emergency Management Toolkit (2011)

Objective to develop a toolkit of communications strategies, policies, and practices for transportation agencies and emergency management agencies that focuses on communicating with vulnerable populations prior to, during, and after all-hazards emergencies.



Graphic: Cover for TCRP Report 150, Communication with Vulnerable Populations:

A Transportation and Emergency Management Toolkit



TCRP Report 160

Paratransit Emergency Preparedness and Operations Handbook (2013)

Objective

to develop a Handbook that provides guidance to paratransit service providers, including public transportation agencies and other public and private paratransit service providers, about how to prepare for all types of emergencies, including

- (a) events with notification such as floods, hurricanes, blizzards, and pandemics, as well as
- (b) events with no notification, including those that may cause regional disruptions such as earthquakes, power blackouts, fires, and acts of terrorism.



Photo: participants at a Handbook validation workshop

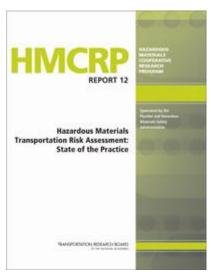
Review of DHS's Approach to Risk Analysis (2010)

This Congressionally-mandated study by the National Academies reviewed how the Department of Homeland Security (DHS) is building its capabilities in risk analysis to inform decision-making. More specifically, the study addressed the following tasks:

- Evaluate the quality of the current DHS approach to estimating risk and applying those estimates in its many management, planning, and resource-allocation (including grant-making) activities, through review of a committee-selected sample of models and methods;
- b) Assess the capability of DHS risk analysis methods to appropriately represent and analyze risks from across the Department's spectrum of activities and responsibilities, including both terrorist threats and natural disasters;
- Assess the capability of DHS risk analysis methods to support DHS decisionmaking;
- d) Review the feasibility of creating integrated risk analyses covering the entire DHS program areas, including both terrorist threats and natural disasters, and make recommendations for best practices, including outreach and communications;
- e) Recommend how DHS can improve its risk analyses and how those analyses can be validated and provide improved decision support.



HMCRP Report 12 Hazardous Materials Transportation Risk Assessment: State of the Practice (2013)



TRB's Hazardous Materials Cooperative Research Program (HMCRP) Report 12: Hazardous Materials Transportation Risk Assessment: State of the Practice documents the current practice for hazardous materials transportation risk assessment by government agencies and the private sector.

A <u>PowerPoint presentation</u> that describes the entire project is available.

Project: Project Information



Project Number: HM-12



E-Newsletter Type: Recently Released TRB Publications



TRB Publication Type: HMCRP Reports



HMCRP Report 9

A Compendium of Best Practices and Lessons Learned for Improving Local Community Recovery from Disastrous Hazardous Materials Transportation Incidents (2012)

Objective: Develop a compendium of best practices that can be used by local communities to plan for recovery from disastrous hazardous materials transportation incidents.

Recovery is defined as both short- and long-term efforts to re-build and revitalize affected communities.

Recovery planning must provide for a near-seamless transition from emergency response activities to recovery operations to de-briefing lessons learned, including, but not limited to, restoration of interrupted utility services, reestablishment of transportation routes, the provision of food and shelter to displaced persons, environmental restoration, business continuity, and economic rebuilding.

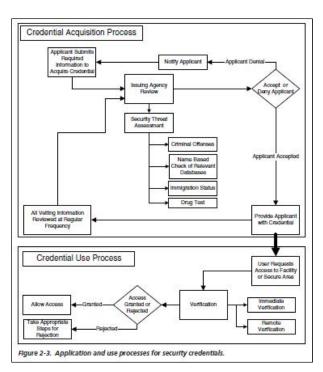


HMCRP Report 6

Feasibility of a Consolidated Security Credential for Persons Who Transport Hazardous Materials (2011)

Objective: to identify options for achieving the objective of a single, universally recognized credential that establishes (a) identity; (b) eligibility to access secure areas; and (c) eligibility to obtain or hold transportation-related licenses, credentials and other government certifications required of persons who transport hazardous materials by all modes in the U.S.





NCHRP Report 732

Methodologies to Estimate the Economic Impacts of Disruptions to the Goods Movement System (2012)

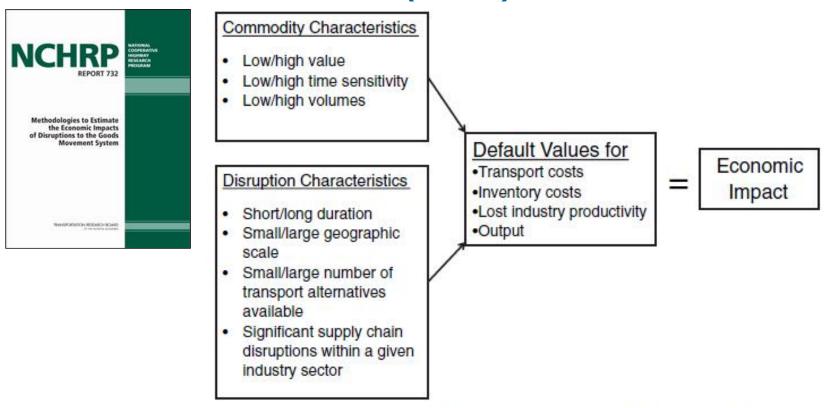


Figure S-1. Basic concepts in a high-level economic impact methodology.



NCHRP Report 753

A Pre-Event Recovery Planning Guide for Transportation (2013)

Objective: to develop a guide that provides preevent recovery planning principles, processes, tools, and appended resource materials for use by planners and decisionmakers in preevent planning to support transportation infrastructure recovery.

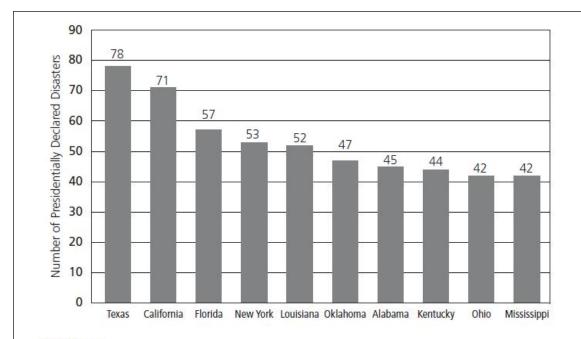


FIGURE 2-2

Presidentially declared disasters, top 10 states, 1953-2007.

Note: Declared disasters in these 10 states represent 32 percent of all disasters. (Source: Federal Emergency Management Agency, U.S. Department of Homeland Security, www. fema.gov/news/disaster_totals_annual.fema.)



NCHRP 20-7 Task 365

Strategic Transportation Systems Management & Operations Program Planning Lead States Initiative Development & Evaluation (2015)

The Strategic Highway Research Program (SHRP) created a capability maturity modeling (CMM) method for organizational assessment and development of state DOTs, which is being applied through the *AASHTO Guide to System Operations & Management*. The application of the guide is being facilitated through numerous SHRP Implementation "CMM Workshops", and also coordinated with SHRP multi-state "Regional Operations Forums". The CMM Workshops generate implementation action plans, which are being utilized by state DOTs to guide incremental development of organizational capacity to develop and deliver TSM&O programs.

The objectives of the project are to:

- Coordinate incorporation of the AASHTO Guide to System Operations Management CMM methodology into the application of the TSM&O Program Planning Framework in transportation agencies of several lead states.
- Coordinate peer comparison and evaluation of these lead state application experiences.
- Summarize lessons learned and opportunities for refinement of both the CMM methodology and the Program Planning Framework, as well as the agency-specific program planning processes utilized.
- Document guidance for continued integrated application of the CMM and Framework techniques.



NCHRP Web-Only Document 215 Incident Command System (ICS) Training for Field Level Transportation Supervisors and Staff (December 2015)

NIMS/ICS: Perform Reliably & Effectively

- Goal of NIMS/ICS: Reliable and effective response to an event, emphasizing safety of DOT staff
- Achieved through
 - Safety
 - Check-in, check out, demobilization
 Check-In, Check-Out, and Demobilization at ICP
 - Personnel accountability
 - Food, shelter, family contacts
 - Reimbursement
 - The job you save may be your own
 - MAP-21 changes, debris removal reimbursement



NCHRP 20-59(14)B

Research Support for the AASHTO Special Committee on Transportation Security and Emergency Management (SCOTSEM) (2015)

The objective of this research is to produce three products to be considered for use by the AASHTO Special Committee on Transportation Security and Emergency Management (SCOTSEM): (1) the National Needs Assessment for Ensuring Transportation Infrastructure Security (2016-2022); (2) the All Hazards Security and Emergency Management Research Implementation Plan (2014-2016); and (3) Fundamentals of Effective All Hazards Security Management for State DOTs (Second Edition).

Security Research Plan Reviewed at August 2007 Summit Led to 3-year Research Plan 2008-2010 Accepted by AASHTO Standing Committee on Research (SCOR)

Chairman Henry Hungerbeeler and Members of the Security Task Force:

In 2002, the AASHTO Transportation Security Task Force adopted twelve highway and bridge security research priorities. After adopting the highway and bridge projects, the Task Force initiated the development of intermodal security research projects. On behalf of the Task Force, the Research Working Group initiated this follow-on process to the 2002 security research priorities.

Two steps were taken to prepare these priorities. First, the Task Force cooperated with the TRB Committee on Critical Transportation Infrastructure Protection to sponsor a one-day workshop on intermodal security research needs. The workshop was held on January 12, 2003. The following day, the AASHTO Transportation Security Task Force approved a one-day working session to refine the problem statements for Task Force consideration. The candidate projects were those intermodal projects with a highway and bridge component. For this reason, the proposed research projects are described as "intermodal highway and bridge" priorities. The working session was held on February 19, 2003, in Washington, DC. The research priorities were presented at the April 17 meeting of the Task Force, where it was recommended that they should be forwarded to the NCHRP 20-59 panel for funding consideration.

This report presents the eleven research problem statements as supported by the AASHTO Transportation Security Task Force. We appreciate the opportunity to support the research mission of the AAI

Mary Lou Ralls, Texas Tom Hicks, Maryland David Albright, New Me;

May 30, 2003

Contractor's Report

Intermodal Highway and Bridge Security Research Priorities for FY '04

Requested by:

AASHTO

Transportation Security Task Force

Prepared by:

TransTech Management, Inc. 125 South Elm Street, Suite 200 Greensboro, NC 27401

May 30, 2003

The information contained in this report was prepared as part of NCHRP Project 20-59, Task 14, National

NCHRP Project 20–59(25) Security Research Plan

"Gap Analysis"

FINAL REPORT

Requested by: American Association of State Highway and Transportation Officials (AASHTO) Special Committee on Transportation Security

Prepared by:

Mineta Transportation Institute San Jose, California

October 2007

The information contained in this report was prepared as part of NCHRP Project 20-59. Task 25, National Cooperative Highway Research Program, Transportation Research Board.

National Needs Assessment for Ensuring Transportation Infrastructure Security (2009-2015)

Contractor's Final Report

National Needs Assessment for Ensuring Transportation Infrastructure Security (2009—2015)

Contractor's Final Report

National Needs Assessment for Ensuring Transportation Infrastructure Security

White Paper on Highway Security Issues for Reauthorization

Project Number: NCHRP 20-59 (4)

Prepared For National Cooperative Highway Research Program (NCHRP)

Prepared By
Parsons Brinckerhoff - PB Farradyne

April 14 2002

Requested by:

Association of State Highway and Transportation Officials (AASHTO) Transportation Security Task Force

Prepared by:

Douglas B. Ham & Stephen Lockwood Parsons Brinckerhoff (PB) Spring Park Technology Center 485 Spring Park Place Herndon, VA 20170 with

ence Applications International Corporation (SAIC)
Transportation Policy and Analysis Center
7990 Science Applications Court
Vienna, VA 22182

October 2002

ntained in this report was prepared as part of NCHRP Project 20-59, Task 5, National perative Highway Recearch Program, Transportation Research Board.

.....

Requested by:

American Association of State Highway and Transportation Officials (AASHTO) Special Committee on Transportation Security

Prepared by:

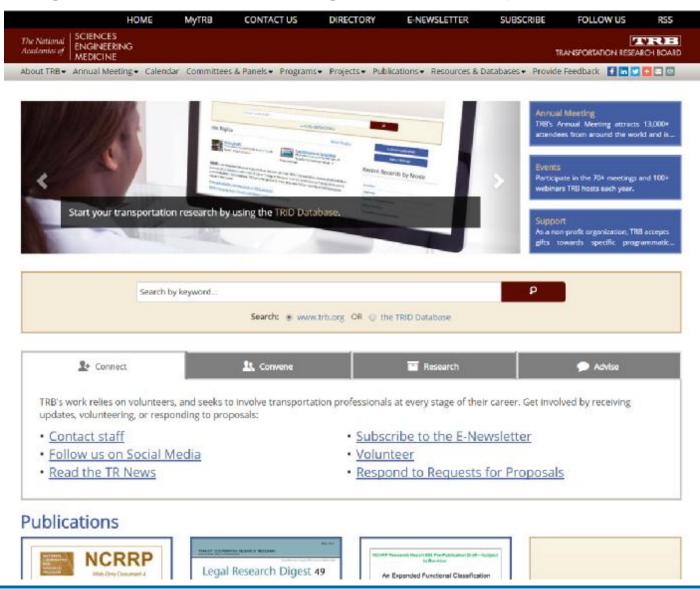
Charles E. Wallace, Ph.D.
David Yohanan
Telvent Farradyne Inc.
4035 NW 43rd St
Gainesville, Florida 32006
with
Stephen Lockwood
PB Consult
1401 K St., NW
Washington, DC 20005

Final Report

August 29, 2008

information contained in this report was prepared as part of NCHRP Project 20-59, Task 25, National Cooperative Highway Research Program, Transportation Research Board.

Promoting Innovation and Progress in Transportation TRB.org



The National Academies of SCIENCES • ENGINEERING • MEDICINE

